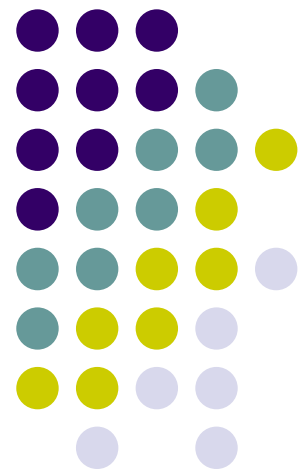


# Self-stabilizing Population Protocols

Janna Burman



université  
PARIS-SACLAY

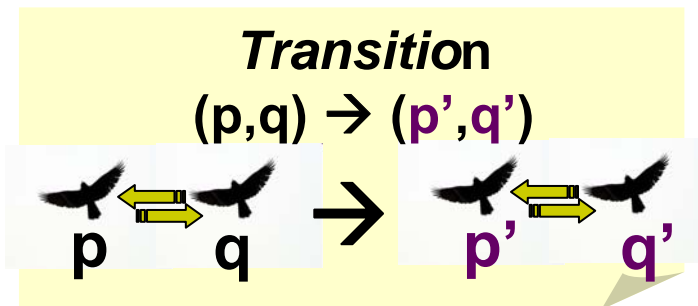
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# Population Protocols (PP)

[Angluin et al. PODC'04, DC'06]

- Collection (*population*) of computational *agents*
  - of size  $N$  (may be unknown)
  - indistinguishable
  - finite (constant if possible) state memory
  - anonymous
- Interacting
  - in **asynchronous** and **unpredictable** way
  - **in pairs**, while exchanging and updating their states according to a **transition function**



- Example of a protocol:  
compute a global property (predicate/function)  
eventually on the input values given to agents

**predicate  $P(x, y, z, \dots)$**

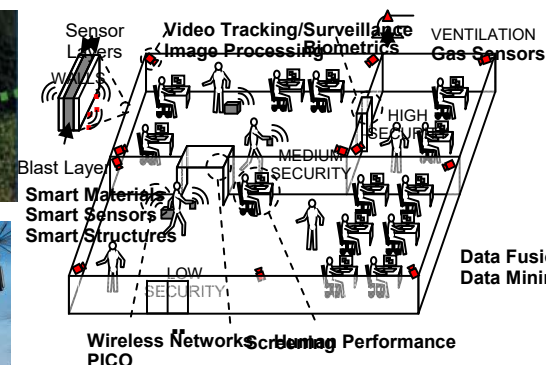
- E.g., whether 10% of the population has an elevated input value?



# PP Motivating scenarios

- **Passively mobile sensor networks**

- ZebraNet [ASPLOS'02] (wildlife tracking)
- EMMA [WCMC'07] (pollution monitoring)



- **Social networks**  
propagation of:

- trust [Diamadi, Fischer: J.Nat.Sci'01]
- rumors [Daley, Kendall: J.Inst.Math.Appl'65]
- epidemics [Bailey:75] [Herbert et al: SIAM'00]



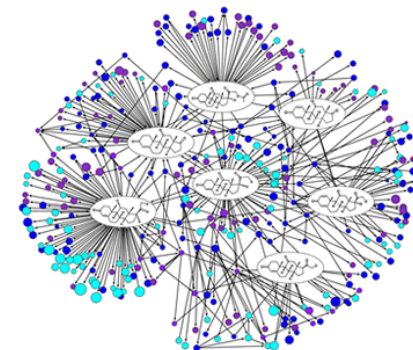
- **Chemical Reaction Networks**

dynamics of well mixed solutions

[Gellespie 77], [Soloveichik, Cook, Winfree, Bruck: NC'08], [Doty: SODA'2014]

- **Gene regulatory networks**

[Bower, Bolouri: MIT press 2004]

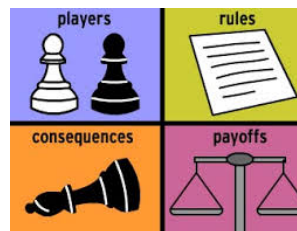


Small fraction of the Organic Chemistry Network (~0.001%). Here, the nodes represent chemical compounds, which are connected by directed arrows representing chemical reactions.

- **Game Theory**

repetitive games of N-participants

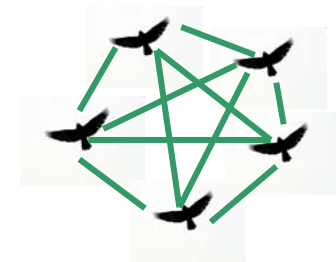
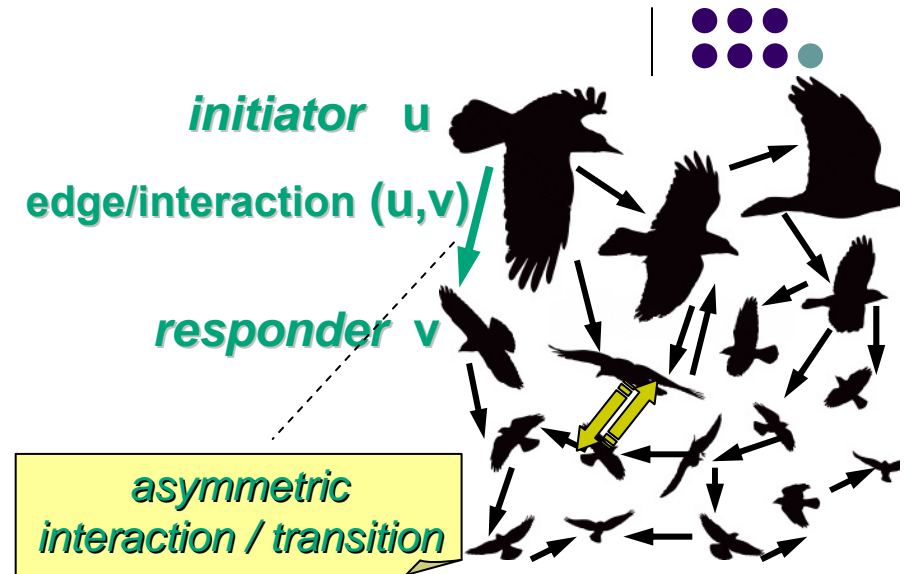
[Bournez, Chalopin, Cohen, Koegler, Rabie: OPODIS'11]



# Interaction graph and executions

## Interaction Graph

- nodes = agents
- edge  $(u,v)$  = possible interaction
- weakly connected
- Frequently and in this talk - a **complete graph**



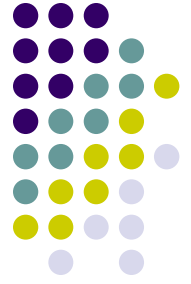
At each step, a pair of agents to interact is chosen

→ **Execution**: infinite sequence of such steps →  
from one **configuration**  $C_i$  to another  $C_{i+1}$ ,  
updated by transitions of chosen agents' pairs:

$C_0, C_1, C_2, C_3, C_4, \dots$

**Configuration**:  
a vector of agents' states  
or of counts of states

# Fair executions



## ***Fairness***

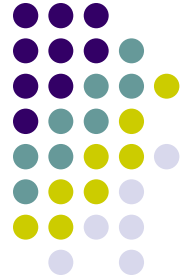
- Weak  
every pair of agents interacts infinitely often
- Probabilistic (Random Scheduler)  
at each step, a pair of agents is chosen to interact **uniformly at random**
- Global  
an infinitely often reachable configuration is reached eventually

$$P = 1 / \binom{N}{2}$$



Probabilistic Fairness → Global Fairness w.p.1

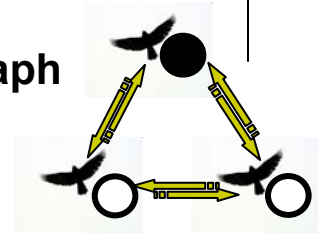
# Weak vs. Global / Probabilistic Fairness



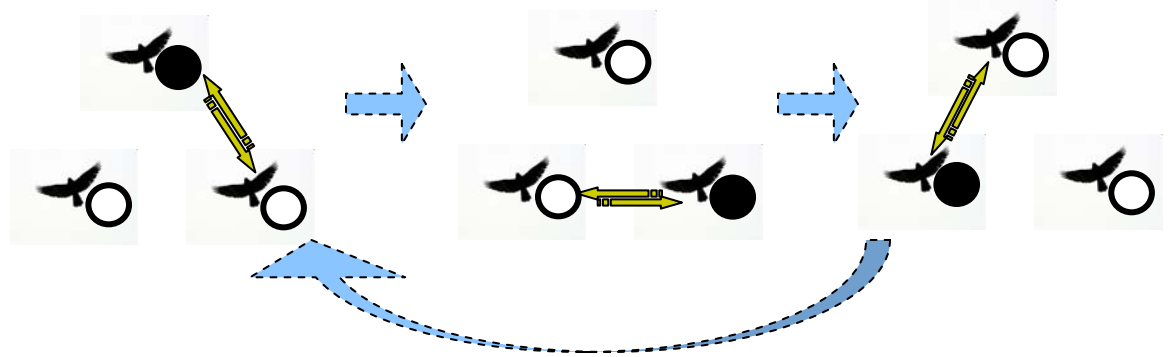
**Example:**

Protocol  $(\circ, \circ) \rightarrow (\bullet, \bullet)$   
 $(\circ, \bullet) \rightarrow (\bullet, \circ)$

Interaction graph

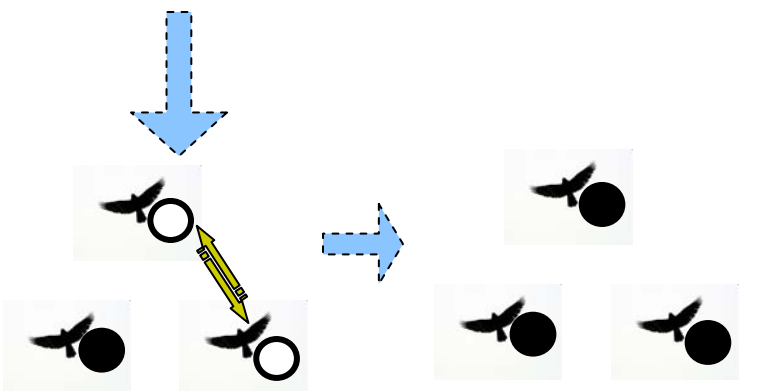


- Weak Fairness  
each pair of agents interact infinitely often

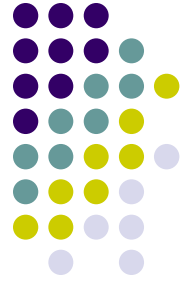


- Global Fairness  
infinitely often reachable config. is eventually reached

- Probabilistic Fairness  
each pair interacts uniformly at random



Probabilistic Fairness  $\rightarrow$  Global Fairness w.p.1



# Main complexity measures in PP

**Memory Space** complexity: in number of different possible **memory states** (or bits) per agent in the protocol

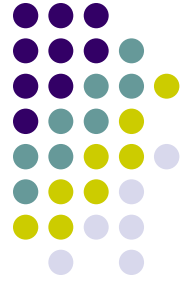
**Time** complexity

Under random fairness (scheduler):

by **parallel time** = the number of interactions (whp or in expectation) until **stabilization** divided by  $N$

- Per parallel time unit, each agent participates in  $O(1)$  interactions in average

***until stabilization***  
to the correct output/behavior

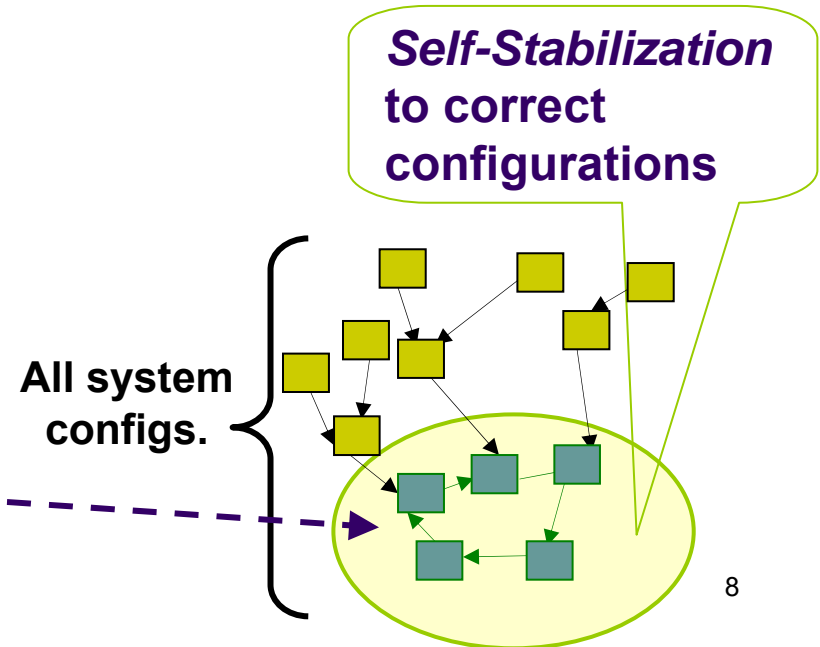


# Self-stabilization [Dijkstra'74]

**Motivation:** unreliable, hardly accessible devices subject to any number of **transient failures**, e.g. volatile memory corruptions, communication faults, topological changes, crashes with recovery to a bad state



**Self-stabilizing protocol:**  
starting from an **arbitrary configuration**, reaches (barring additional faults) **correct configurations** eventually (and stays correct)







# The Counting Problem

anonymous population of unknown size N

## Exact Population Size Counting problem:

eventually (at the *stabilization*), some agents count all the N agents (in a variable n)\*

\* some agents won't have a uniformly finite state

eventually n = N

## Additional Goals:

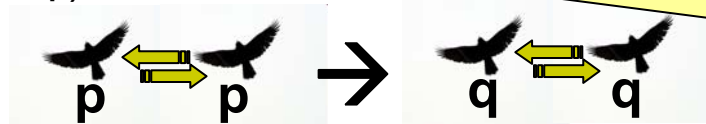
✓ no initialization ( → self-stabilization )

✓ Impossible

[Beauquier, Clément, Messika, Rosaz, Rozoy: DISC'07]

✓ *symmetric* deterministic PP:

✓ in transition  $(p,q) \rightarrow (p',q')$ :  
if  $p = q$  then  $p' = q'$



eventually n = N



one initialized distinguishable agent Base Station = Leader



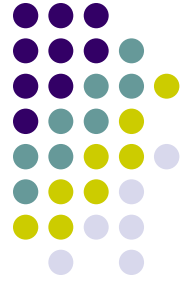
✓ **Optimize (exact) agent state space**

✓ impossible with 1 state → **2 states with global fairness**

[Beauquier, Burman, Clavier, Sohier: DISC'15]

➤ **Optimize time!**

[Aspnes, Beauquier, Burman, Sohier: OPODIS'16]



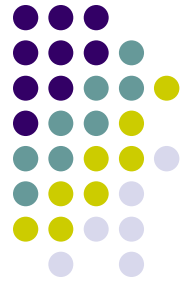
# Counting: Motivation

- **Fundamental** and extensively studied task in computer science
- **Useful in many domains:** network traffic monitoring, database query optimization, data mining, ...
- **In PP, counting is used to solve other tasks:**
  - uniform bipartition or k-partition
    - [Yasumi, Ooshita, Yamaguchi, Inoue: IEICE Trans.'19]
    - [Yasumi, Kitamura, Ooshita, Izumi, Inoue: Int. J. Netw. Comput.'19]
  - naming
    - e.g. [J. Burman, J. Beauquier, D. Sohler: DISC'19]
  - degree recognition
    - [Sudo, Shibata, Nakamura, Kim, Masuzawa: IEEE TPDS'21]
- ...

# Space-Optimal Counting

## *Global fairness, 2 states*

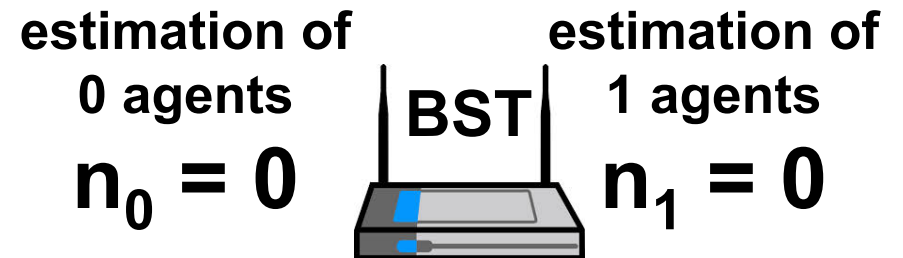
### (Algorithm)



agents are initialized arbitrarily, each is in state  $b \in \{0,1\}$

#### Initialization of BST:

$$n \leftarrow n_0 \leftarrow n_1 \leftarrow 0$$



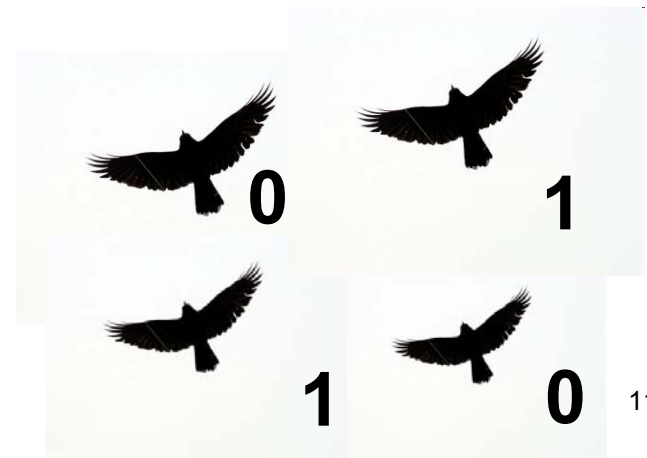
#### If b-agent interacts with BST:

If  $(n_b > 0)$  then  $n_b --$

$$b \leftarrow 1 - b$$

$n_b ++$

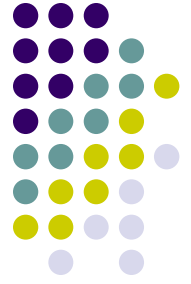
$$n \leftarrow n_1 + n_0$$



# Space-Optimal Counting

## *Global fairness, 2 states*

### (Algorithm)



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#### If $b$ -agent interacts with BST:

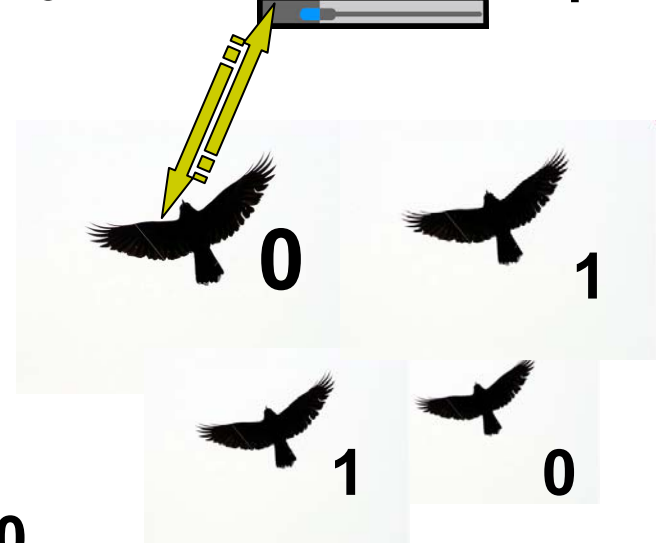
If  $(n_b > 0)$  then  $n_b --$

$$b \leftarrow 1 - b$$

$$n_b ++$$

$$n \leftarrow n_1 + n_0$$

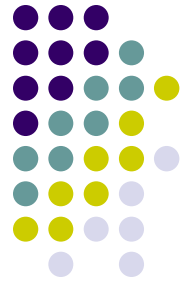
estimation of 0 agents  $n_0 = 0$       BST      estimation of 1 agents  $n_1 = 0$



# Space-Optimal Counting

## *Global fairness, 2 states*

### (Algorithm)



agents are initialized arbitrarily, each is in state  $b \in \{0,1\}$

#### Initialization of BST:

$$n \leftarrow n_0 \leftarrow n_1 \leftarrow 0$$

#### If $b$ -agent interacts with BST:

If  $(n_b > 0)$  then  $n_b --$

$$b \leftarrow 1 - b$$

$n_b ++$

$$n \leftarrow n_1 + n_0$$

estimation of 0 agents  $n_0 = 0$

BST

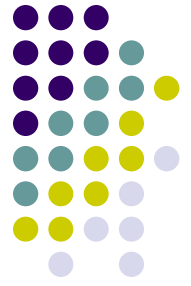
estimation of 1 agents  $n_1 = 1$



# Space-Optimal Counting

## *Global fairness, 2 states*

### (Algorithm)



agents are initialized arbitrarily, each is in state  $b \in \{0,1\}$

#### Initialization of BST:

$$n \leftarrow n_0 \leftarrow n_1 \leftarrow 0$$

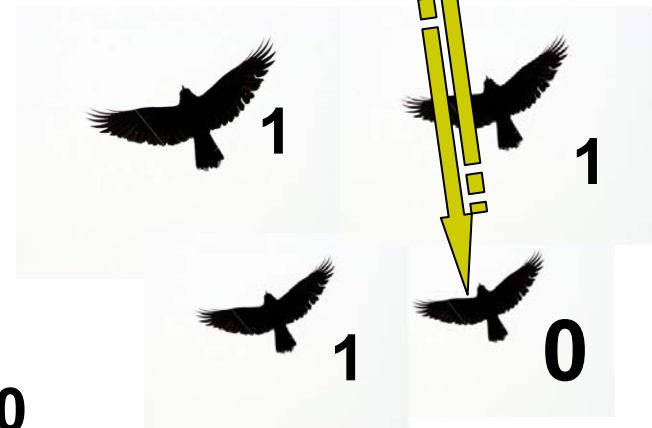
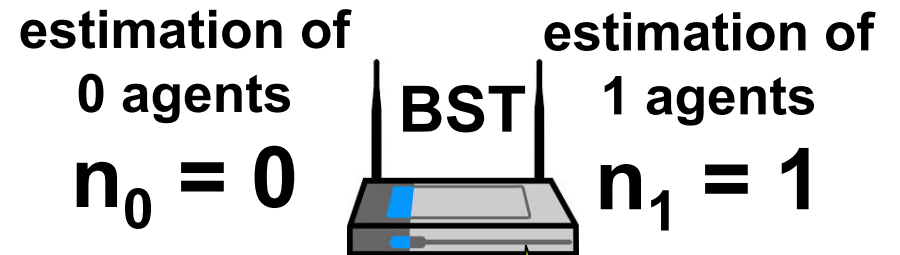
#### If b-agent interacts with BST:

If  $(n_b > 0)$  then  $n_b --$

$$b \leftarrow 1 - b$$

$n_b ++$

$$n \leftarrow n_1 + n_0$$



# Space-Optimal Counting

## *Global fairness, 2 states*

### (Algorithm)



agents are initialized arbitrarily, each is in state  $b \in \{0,1\}$

#### Initialization of BST:

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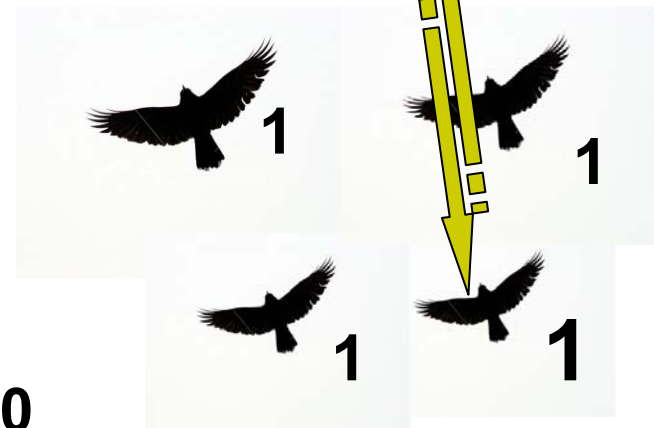
$n_b ++$

$$n \leftarrow n_1 + n_0$$

estimation of 0 agents  $n_0 = 0$

BST

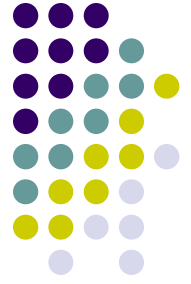
estimation of 1 agents  $n_1 = 2$



# Space-Optimal Counting

## *Global fairness, 2 states*

### (Algorithm)



agents are initialized arbitrarily, each is in state  $b \in \{0,1\}$

#### Initialization of BST:

$$n \leftarrow n_0 \leftarrow n_1 \leftarrow 0$$

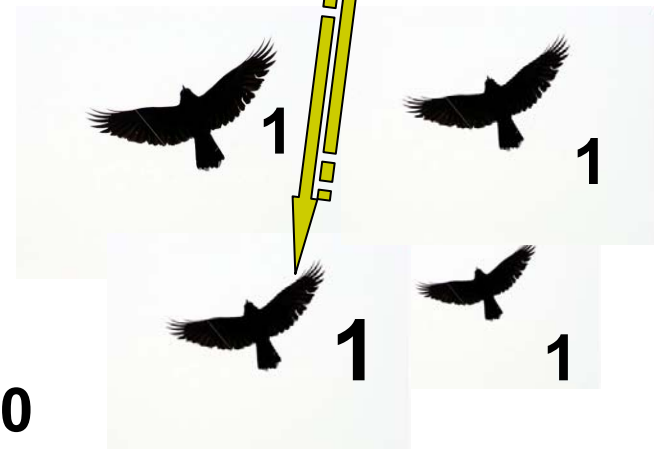
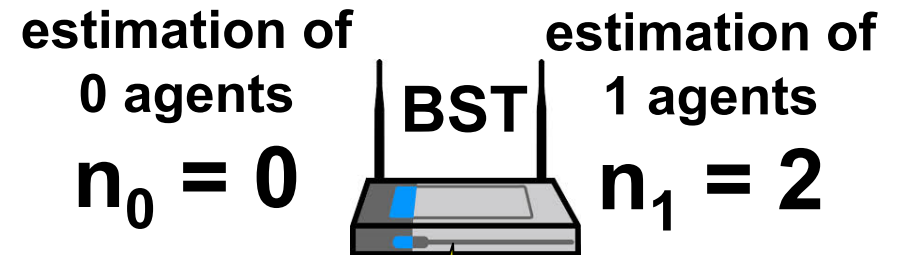
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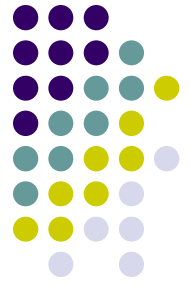




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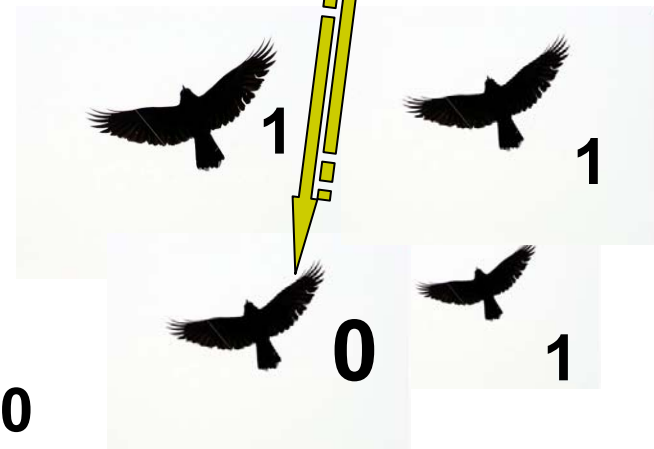
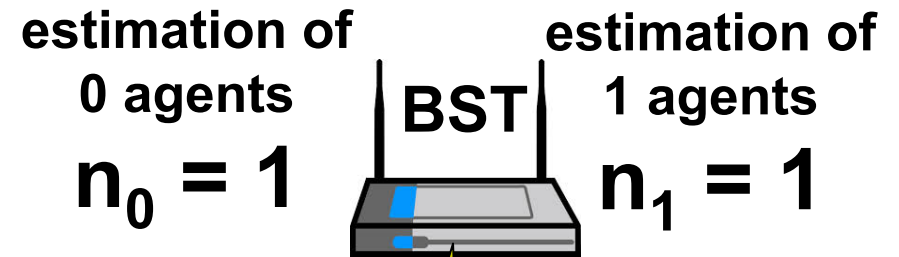
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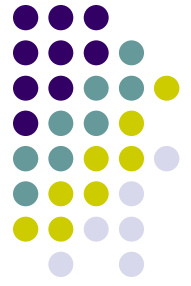
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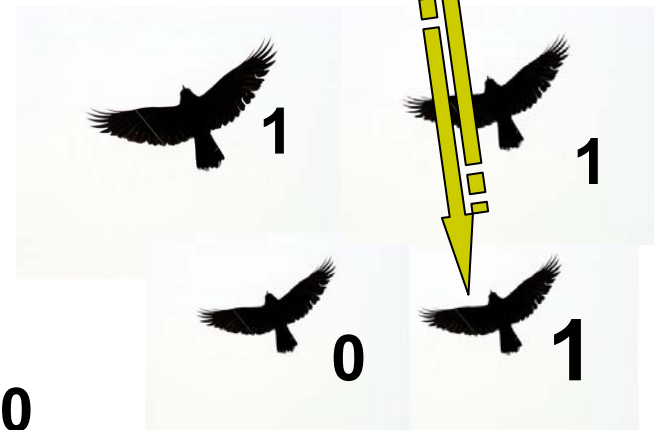
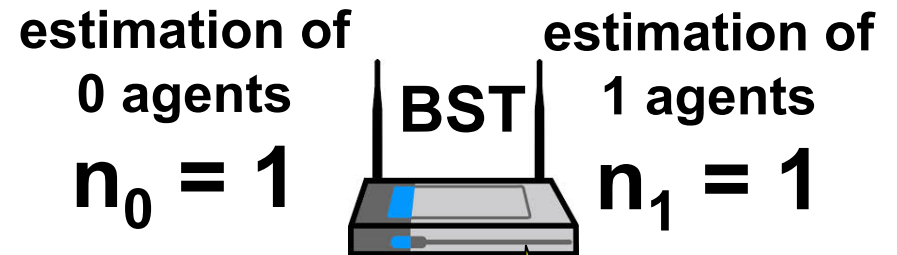
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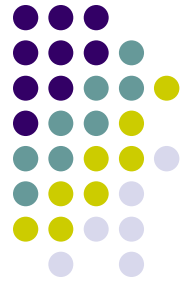
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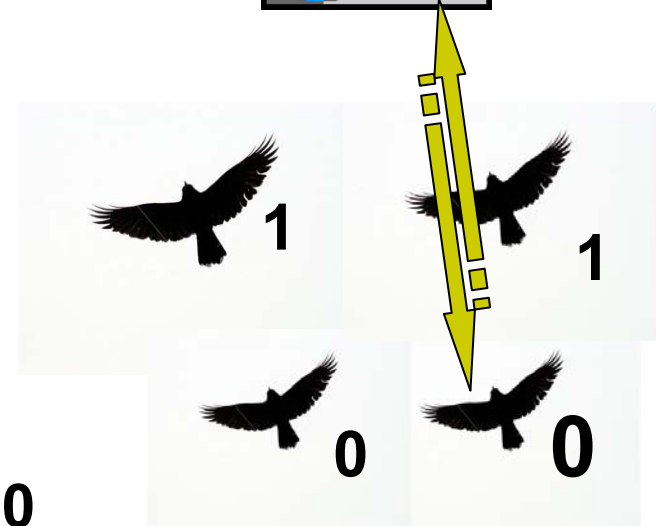
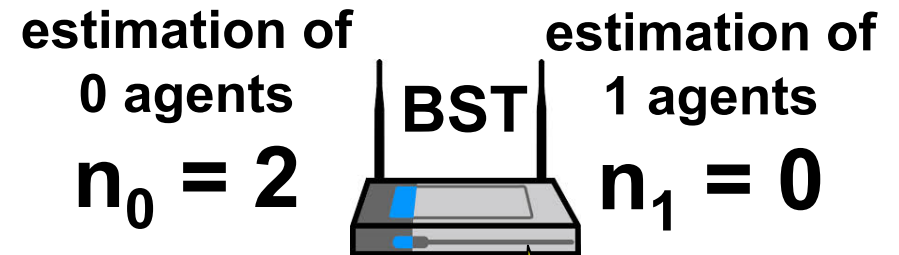
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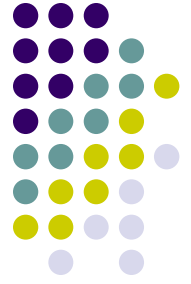
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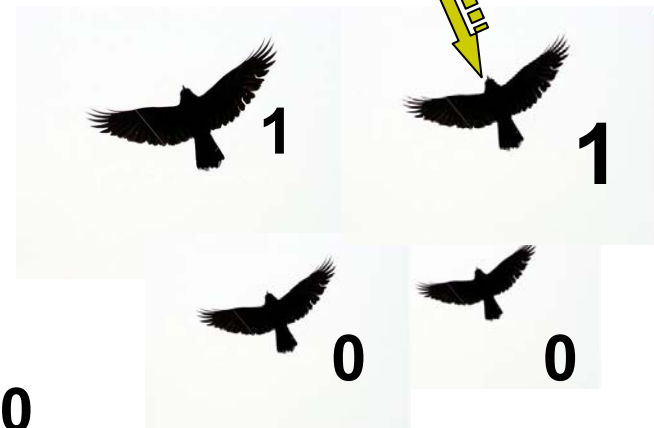
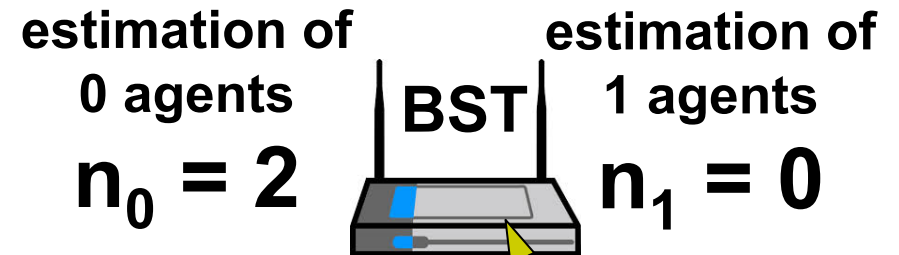
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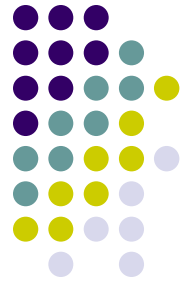
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# Space-Optimal Counting

## *Global fairness, 2 states*

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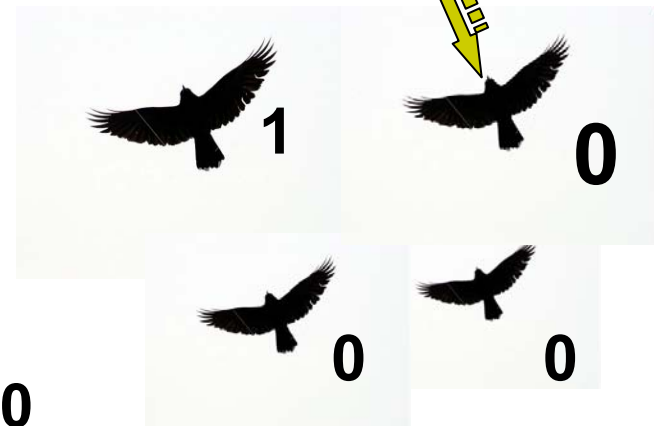
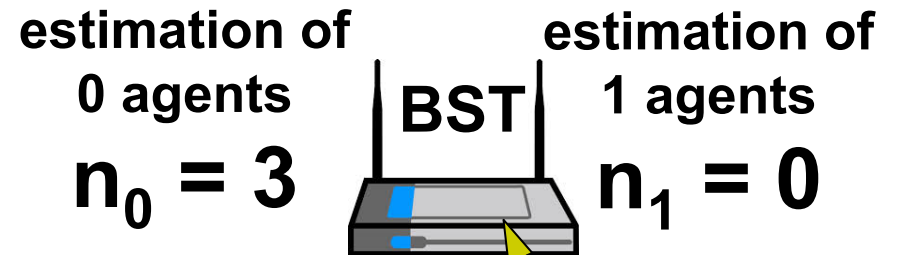
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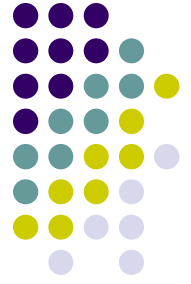
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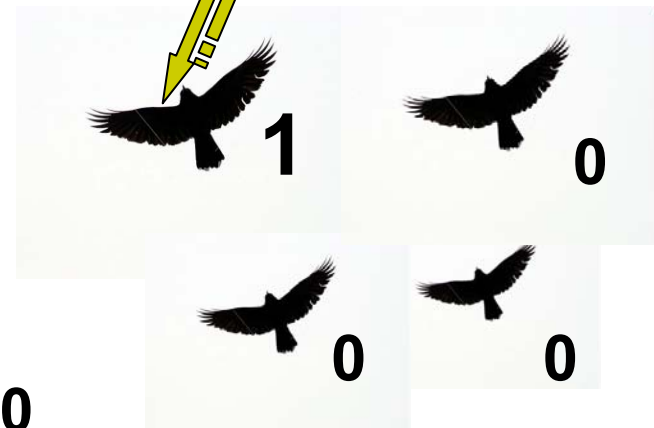
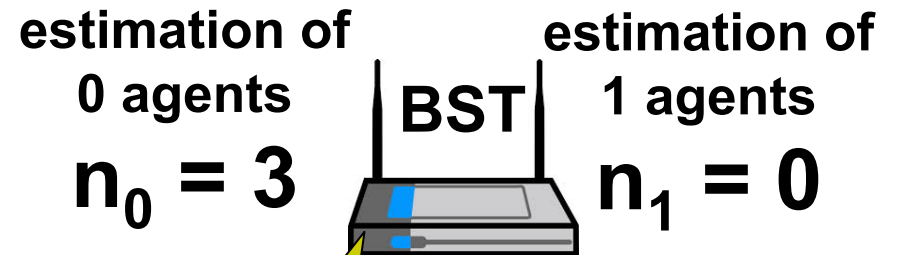
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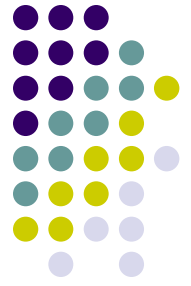
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# Space-Optimal Counting

## *Global fairness, 2 states*

### (Algorithm)



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#### Initialization of BST:

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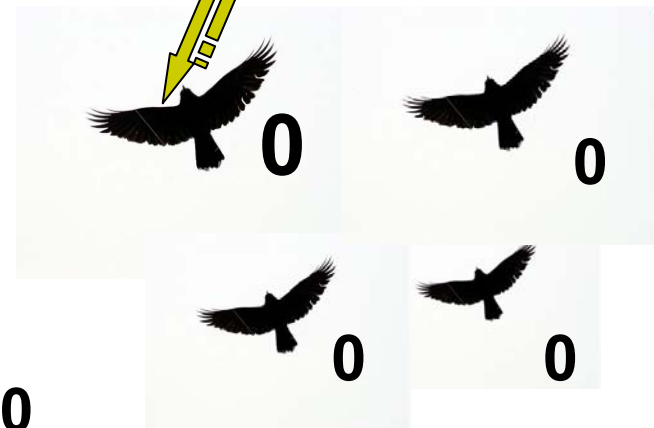
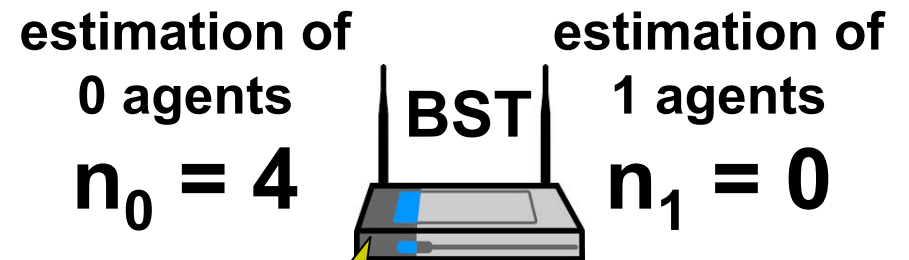
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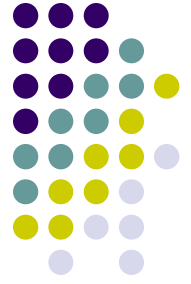
$n_b ++$

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# Space-Optimal Counting

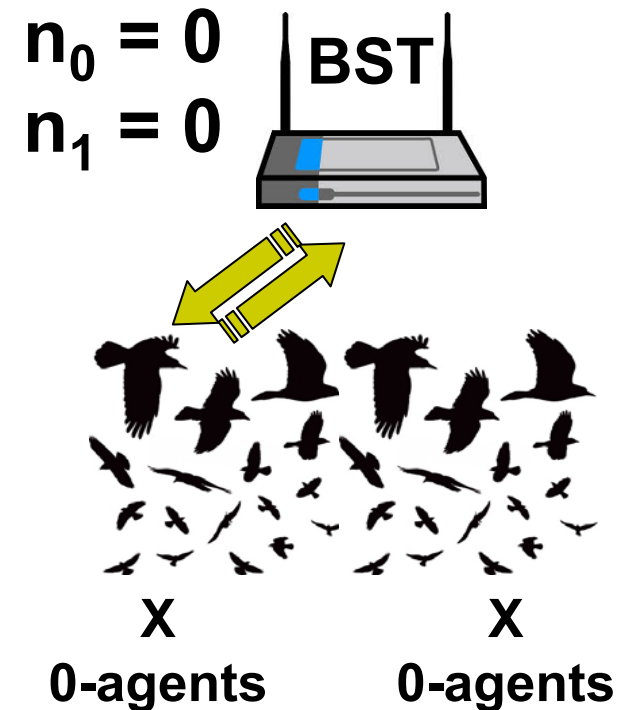
## *Global fairness, 2 states (Proof)*



**Lemma 1:**  $n_0 \leq N_0$ ,  $n_1 \leq N_1$ ,  $n = n_0 + n_1 \leq N$ ;  
**n can not decrease**

**Execution cycles are possible:**

Make interact  $X$  0-agents, each, once.  
They become 1-agents, and  $n_1 = X$ .





# Space-Optimal Counting

## *Global fairness, 2 states (Proof)*

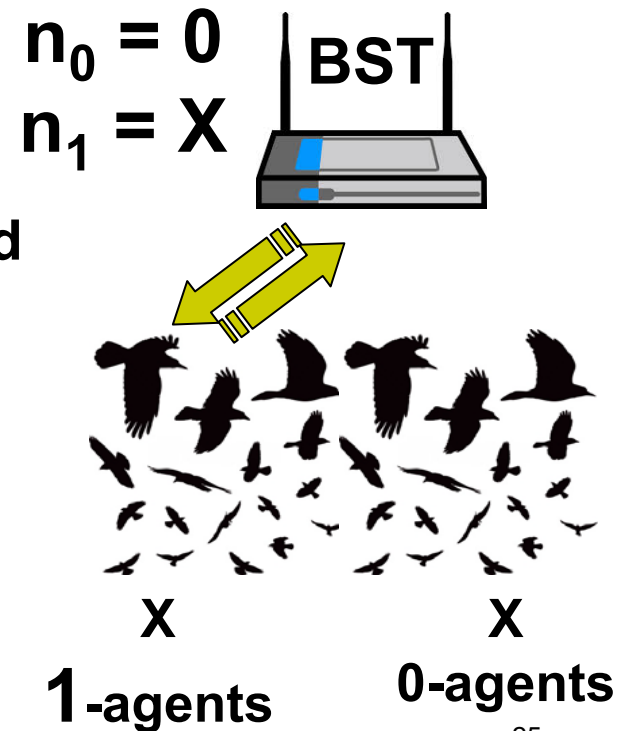


Lemma 1:  $n_0 \leq N_0$ ,  $n_1 \leq N_1$ ,  $n = n_0 + n_1 \leq N$ ;  
 $n_0, n_1, n$  can not decrease

Execution cycles are possible:

Make interact  $X$  0-agents, each, once.  
They become 1-agents, and  $n_1 = X$ .

Repeat. They become 0-agents again and  
 $n_0 = X$ .



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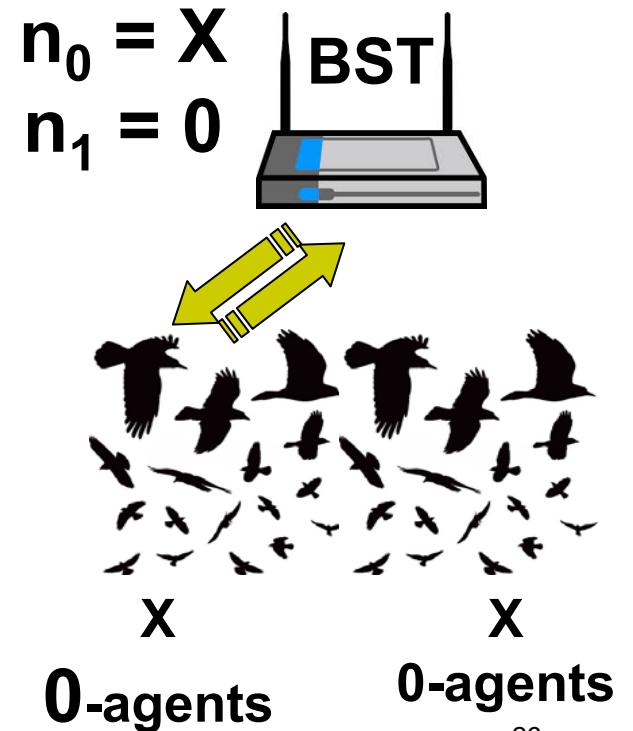


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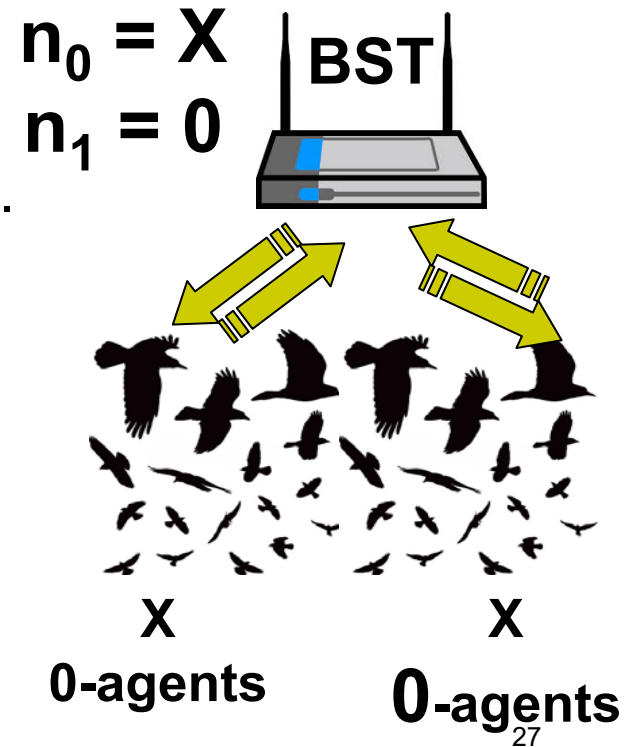
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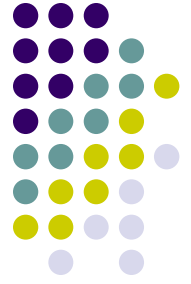
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**Repeat the same with the other  $X$  agents.**  
**At the end,  $n_0$  and  $n_1$  are as before.**



# Space-Optimal Counting

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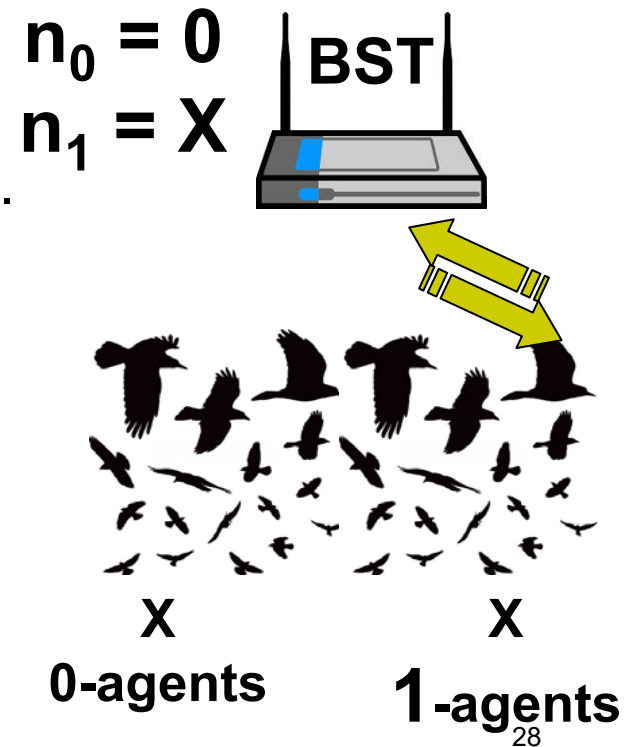
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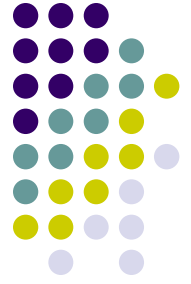
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# Space-Optimal Counting

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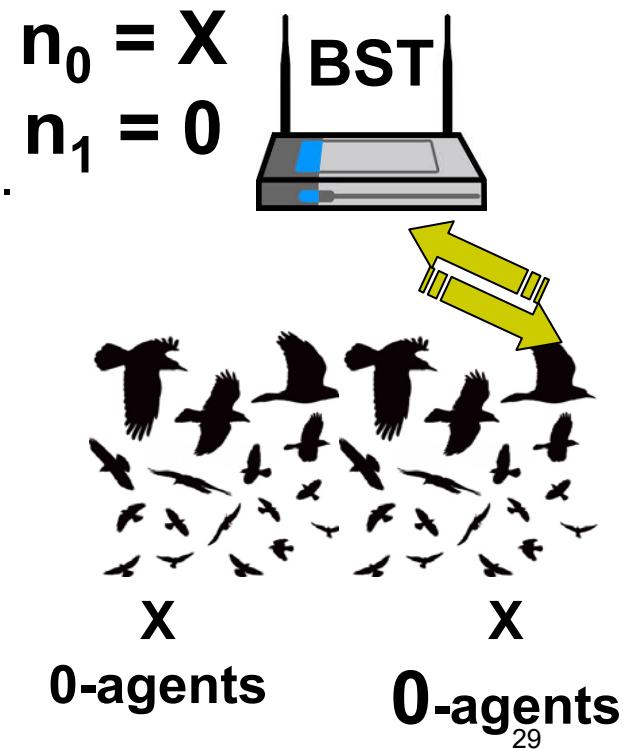
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**Repeat the same with the other  $X$  agents.**  
**At the end,  $n_0$  and  $n_1$  are as before.**



# Space-Optimal Counting

## *Global fairness, 2 states (Proof)*



Exponential  
stabilization time!  
(with random scheduler)



Lemma 1:  $n_0 \leq N_0, n_1 \leq N_1, n = n_0 + n_1 \leq N$ ;  
n can not decrease

Execution cycles are possible:

Make interact X 0-agents, each, once.  
They become 1-agents, and  $n_1 = X$ .

Repeat. They become 0-agents again and  $n_0 = X$ .

Repeat the same with the other X agents.

At the end,  $n_0$  and  $n_1$  are as before.

Repeat all again.

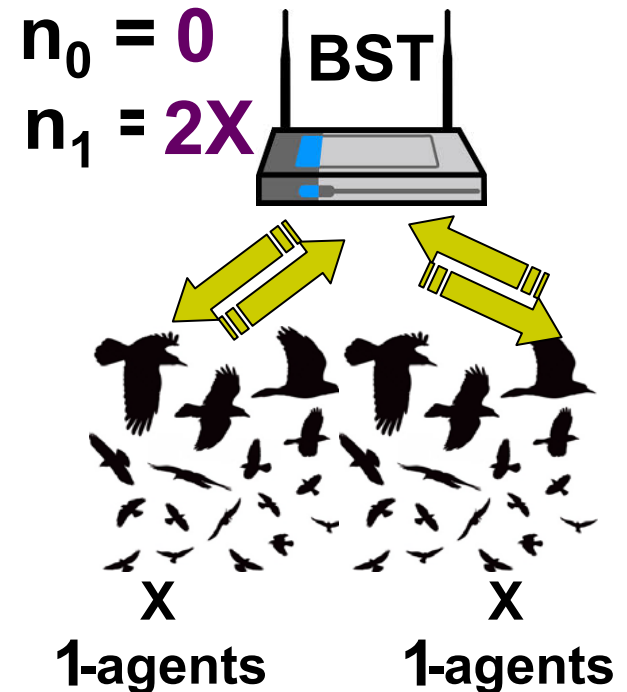
$n \leftarrow n_0 + n_1 = X$

But, when all agents are in 0-state, make them all interact, one by one, with BST (in a sequence):

$n \leftarrow 2X$

Lemma 2: From any configuration,  
 $\exists$  reachable configuration C with  $n = N$ .

By *global fairness*, C is reached.

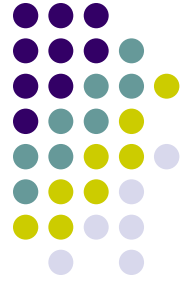


# Time and Space Optimal Counting



1. **Time lower bound:**  
space-optimal (2 states) counting stabilizes in  $\Omega(N \log N)$  expected parallel time
2. **This is a tight bound:**  
there is space-optimal (2 states) counting that stabilizes in  $O(N \log N)$  expected parallel time

[Aspnes, Beauquier, Burman, Sohler: OPODIS'16]



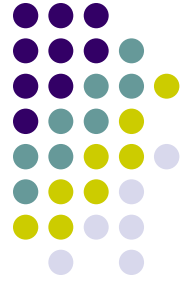
# Leader Election (LE) Problem

- Agents have **leader states L** and others called **followers - F**
  - Eventually (with probability 1), **only one agent is in a leader state**, forever
- Then, the execution is said to have **stabilized**



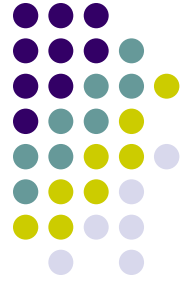
No safety property is asked





# Leader Election: Motivation

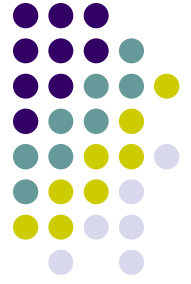
- **Fundamental** and extensively studied distributed computing primitive
- **Useful for coordination and efficiency**
- For example in **PP**, given a leader:
  - **protocols can become exponentially faster**
    - e.g. [D. Angluin, J. Aspnes, D. Eisenstat: DC'08], [A. Bellevile, D. Doty, D. Soloveichik: ICALP'17]
  - compact – **state space efficient**
    - e.g. [M. Blondin, J. Esparza, S. Jaax: STACS'18]
  - **some problems become feasible**
    - e.g. [J. Burman, J. Beauquier, D. Sohier: DISC'19]



# Uniformly initialized LE

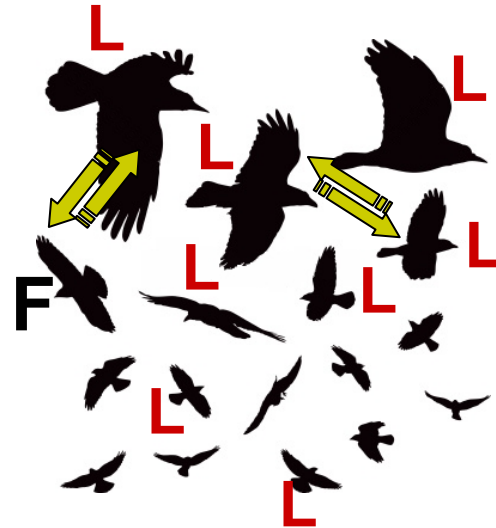
- Simple solution:
  1. all agents start in state L
  2. Transition func.:  $(L, L) \rightarrow (L, F)$

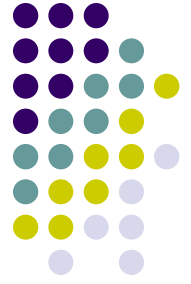




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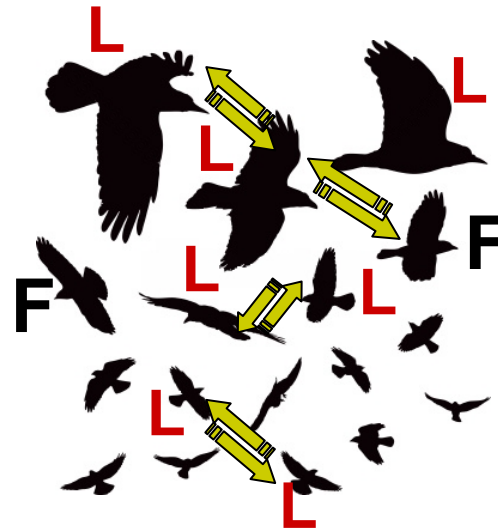
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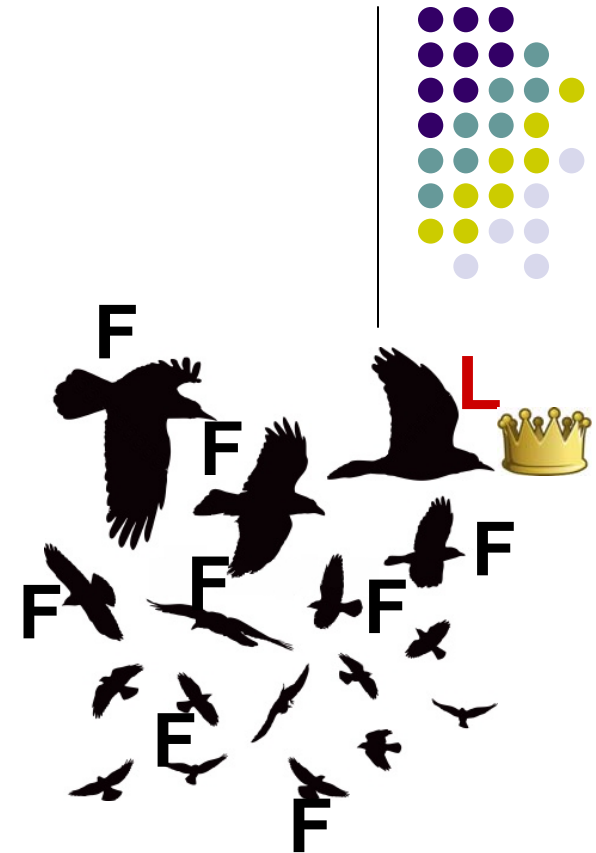
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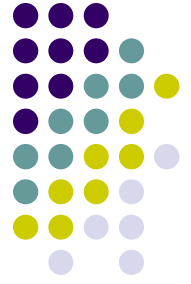


# Uniformly initialized LE

- **Simple solution:**
  1. all agents start in state L
  2. Transition func.: (L, L)  $\rightarrow$  (L, F)
  3. **stabilize** to a unique leader in L, in  $O(N)$  time (in expectation)
- **With constant state space (and  $\leq \frac{1}{2} \log \log N$ ), provably takes  $\Omega(N)$  time**
  - [D. Doty, D. Soloveichik: DISC'15], [D. Alistarh, J. Aspnes, D. Eisenstat, R. Gelashvili: R.L. Rivest: SODA'17]
- **Solvable with  $O(\log \log N)$  states in optimal  $O(\log N)$  time**
  - [P. Berenbrink, G. Giakkoupis, P. Kling: STOC'20]



# Self-stabilizing LE (SSLE)

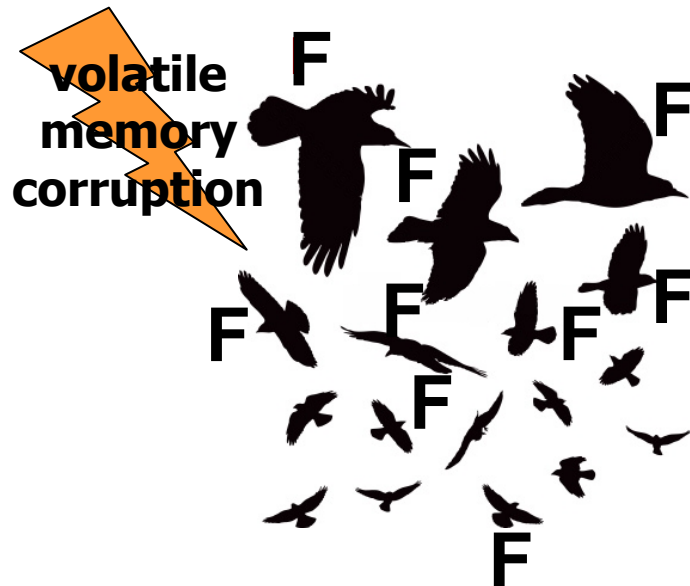


**Motivation:** unreliable, hardly accessible devices,  
subject to any number of transient failures

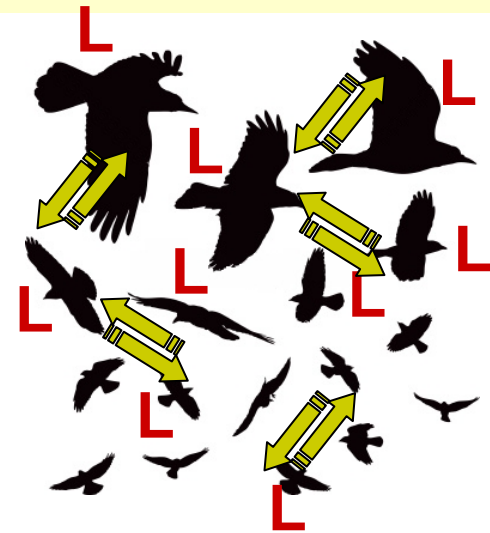
**Self-stabilizing protocol:**

starting from an **arbitrary configuration**,  
**stabilizes** (barring additional faults) with probability 1  
to *correct configurations*

→ Simple protocol (L, L) → (L, F) fails  
from a conf. without L



→ Requires  $\Omega(\log N)$  time whp from



N-1 agents have to interact and become F  
( $\Omega(\log N)$  time, by the coupon collector argument)



# Self-stabilizing LE (SSLE)

**Motivation:** unreliable, hardly accessible devices,  
subject to any number of transient failures

**Self-stabilizing protocol:**

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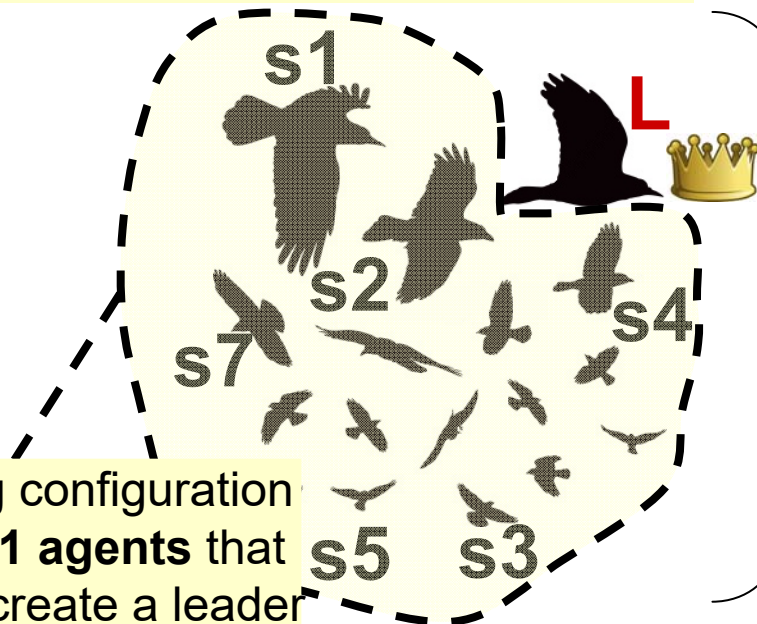
→ Different protocol for each  $N$   
(non-uniform)

→ Requires  $\geq N$  states and  
exact knowledge of  $N$

[S. Cai, T. Izumi, and K. Wada: TCS, 2012.]

Stable  
configuration  
with  $N$  agents

Starting configuration  
with  $N-1$  agents that  
cannot create a leader

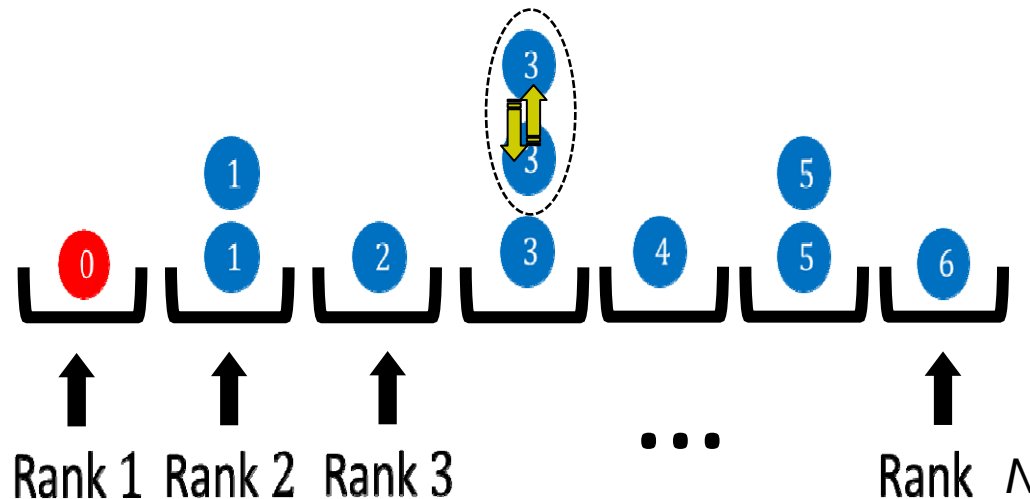


# Simple Self-stabilizing LE

[S. Cai, T. Izumi, and K. Wada: TCS, 2012]



- Agents' states  $\in \{0, \dots, N-1\}$ , **0** is a **leader state**
- Transition func.:  $(s, s) \rightarrow (s, (s + 1) \bmod N)$  for each state  $s$



Unique  
terminal  
configuration

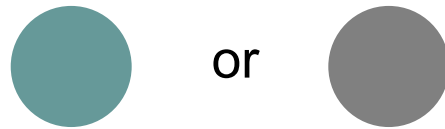
Solves strictly harder **Ranking** problem in  $\Theta(N^2)$  parallel time



# Time-optimal ( $O(\log n)$ ) SSLE/Ranking

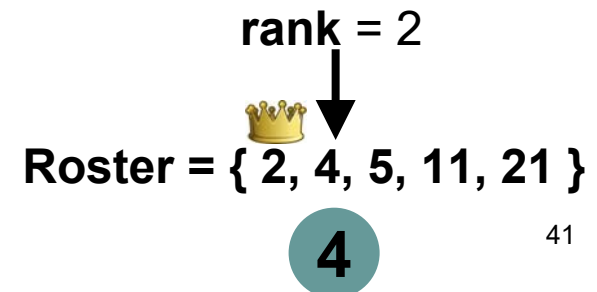
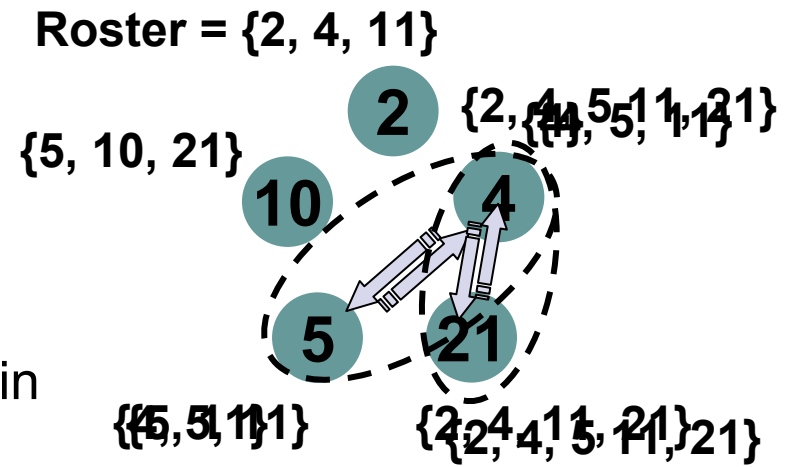


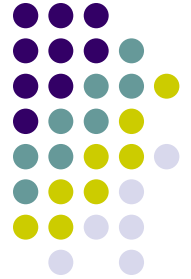
- An agent is either **Collecting** or **Resetting**.



- When **Collecting**, it has a **name**  $\in \{1, \dots, N^3\}$  and a **roster** array to collect the other names
  - two agents merge their rosters during an interaction
  - the names are propagated by epidemics in  $O(\log N)$  time

When  $|\text{roster}| = N$ , agent's **rank** is determined by the lexicographical order of its name in the roster

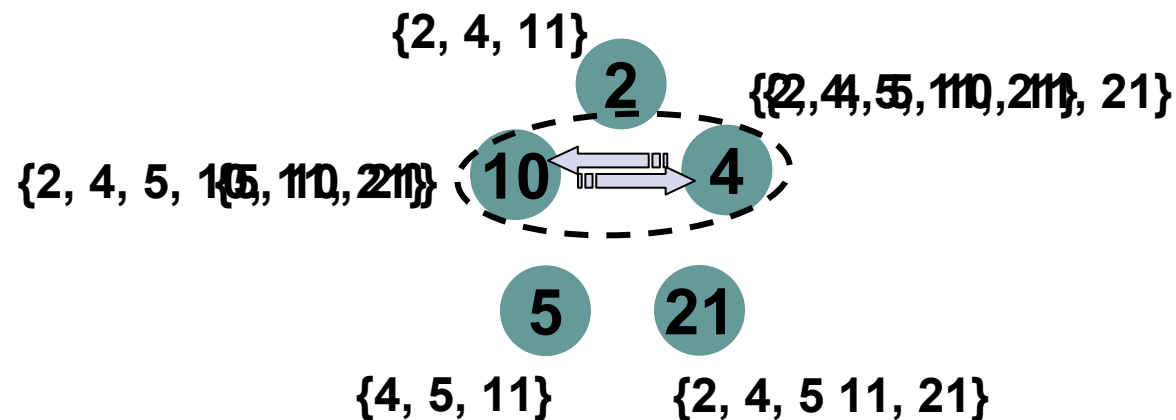




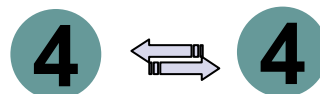
# Reset (1)

**Reset** is triggered **if** an agent detects:

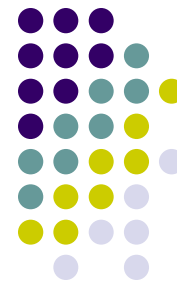
1. **“Ghost name” error**  
a name in a roster belonging to no agent.
  - If no homonyms, detected whenever  $|\text{roster}| > N$   
(in  $O(\log N)$  time)



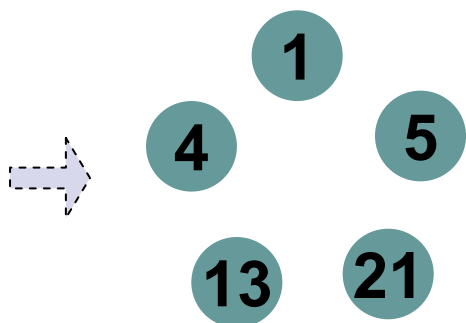
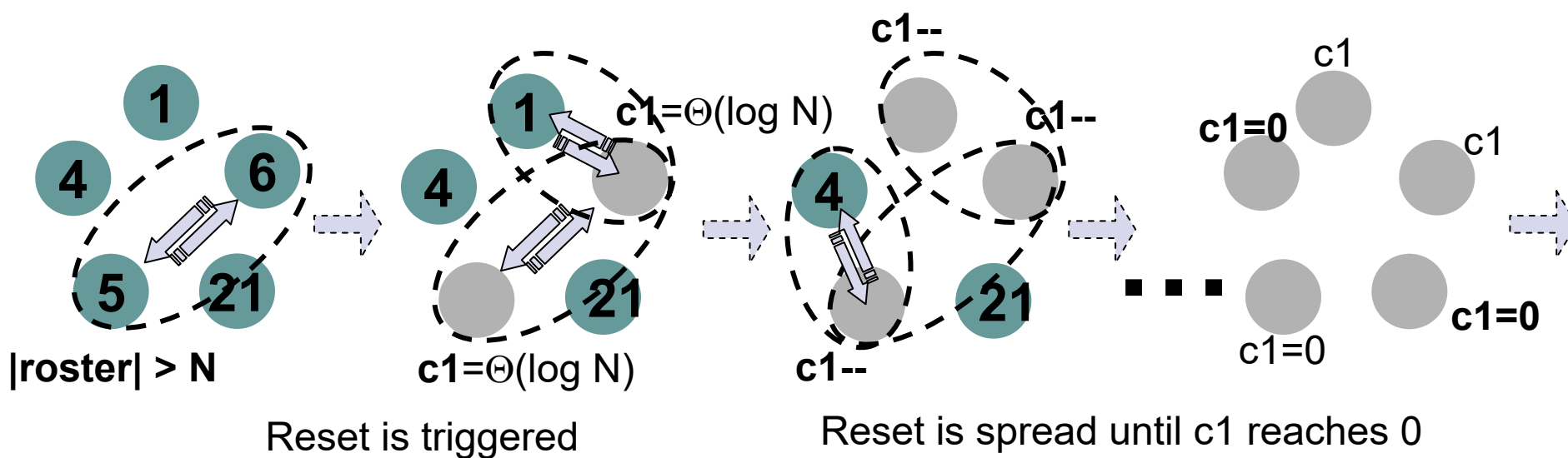
2. **Name collision error**



# Reset (2)



→ agents become **Resetting** spreading **Reset** in  $O(\log N)$  time  
 (“by epidemic”, with counters set to  $\Theta(\log N)$ )



→ at Reset exit (when  $c1$  is set to 0), each agent moves to **Collecting** and picks randomly a name out of  $N^3$  names, with no collisions whp.

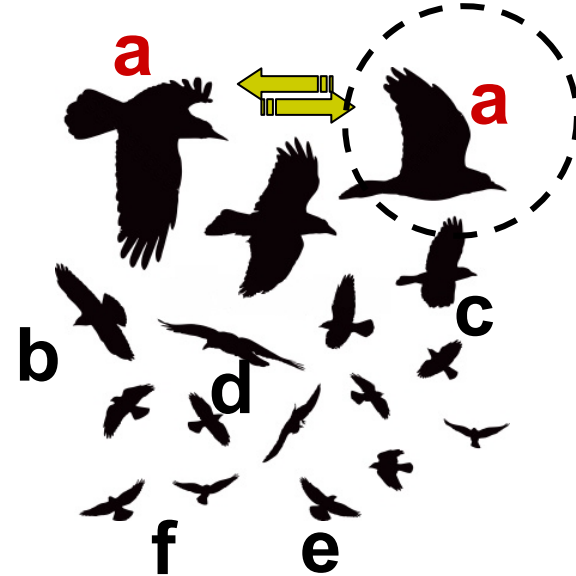
(by the birthday paradox argument)



# Name collision detection

- What if there are homonyms, and  $|\text{roster}|$  is never  $> N$ ?
- A simple *direct detection* takes  $\Theta(N)$  time.
  - requires direct interaction of two homonyms

$\Omega(N)$  time bottleneck

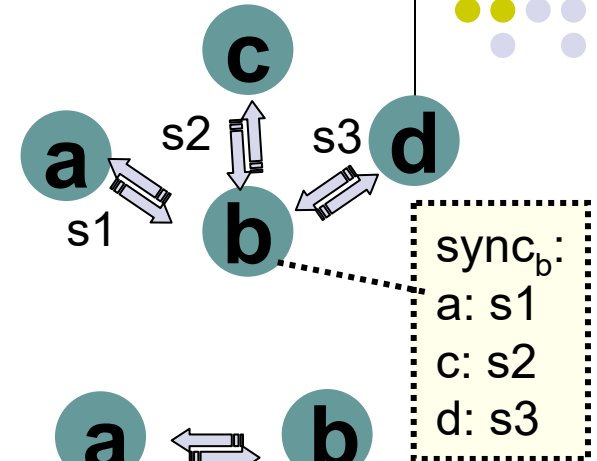


→ We use an *indirect detection*

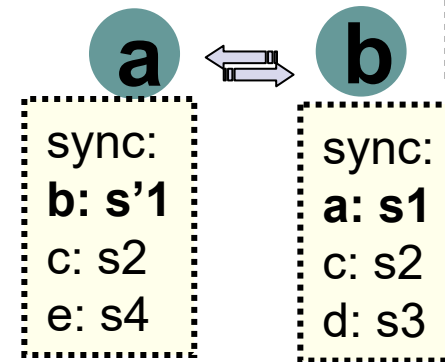
# $O(\sqrt{n})$ name collision detection



- When two agents meet they generate a shared random value sync in  $\{1, \dots, k\}$ 
  - They store this value in the dictionary near the name of the other.

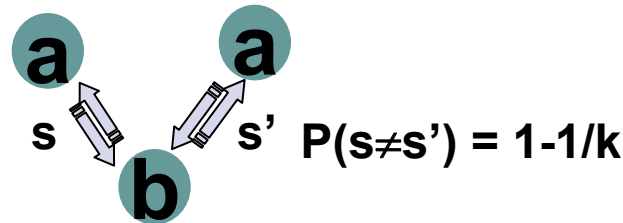


- If in the beginning of this interaction **they disagree on this sync value**, they declare a collision

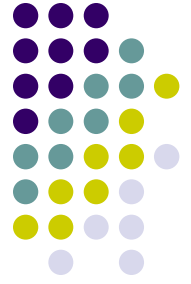


**If  $s'1 \neq s1$ , Reset is triggered**

- If there are homonyms, **some agent interacting with both will detect it with prob.  $1-1/k$  in  $O(\sqrt{N})$  time**



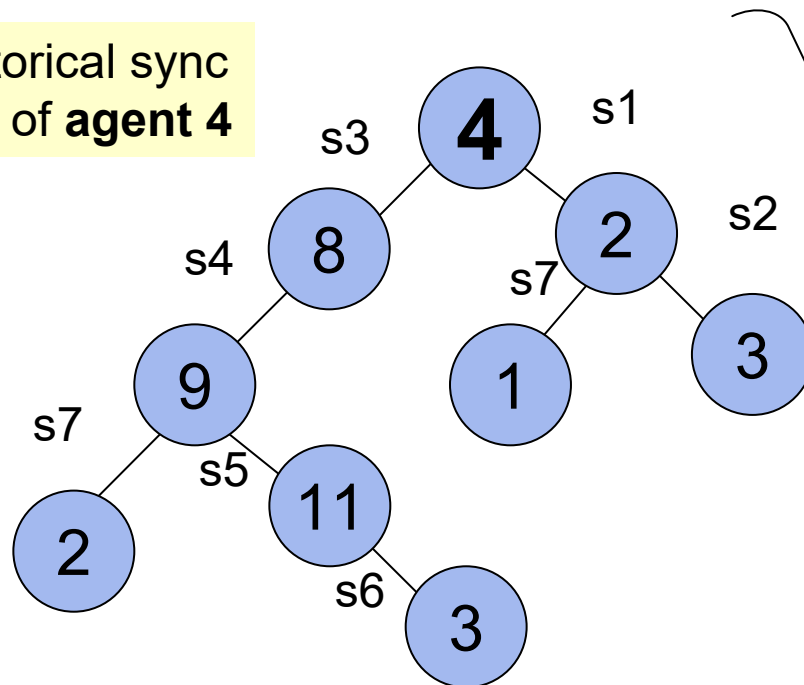
- Safety: when all names are unique, all agents agree on the corresponding synch values



# $O(\log n)$ name collision detection

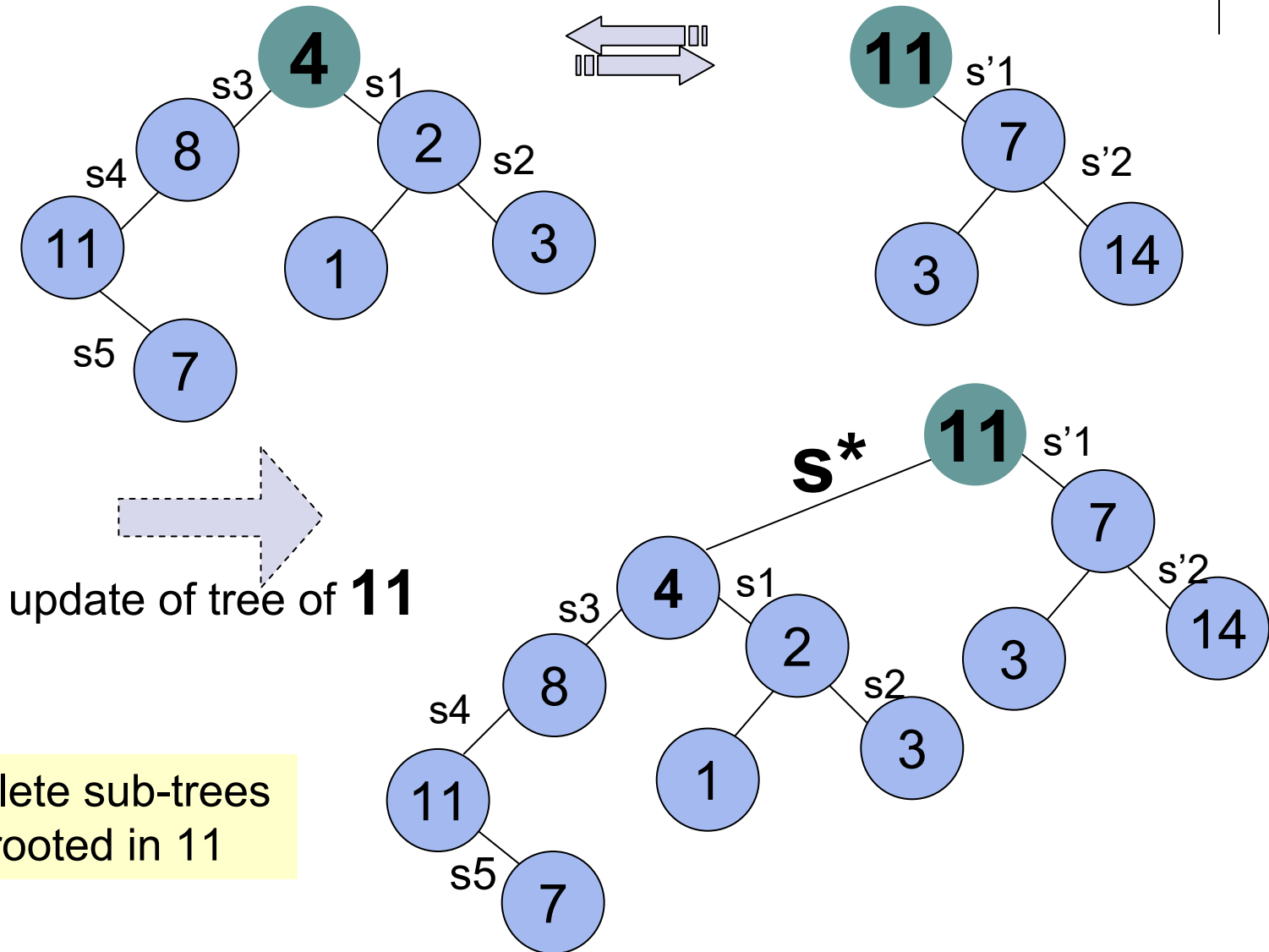
- Each agent  $x$  keeps **historical sync values** of other agents in a tree structure rooted in  $x$  and s.t. each path contains only unique names.
  - **these root-to-leaf paths are historical chains of interactions with correspondingly generated sync values**

Historical sync tree of **agent 4**



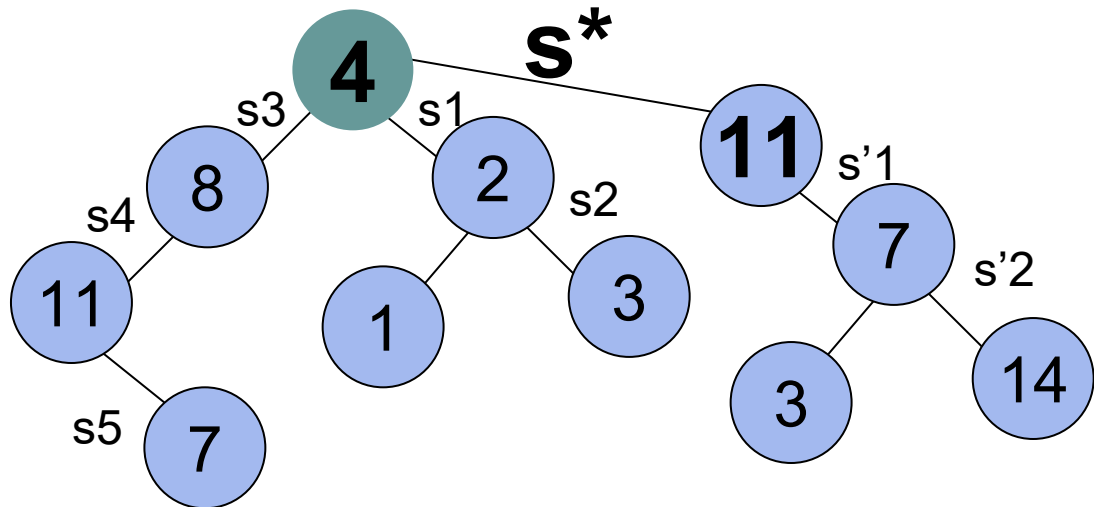
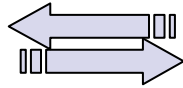
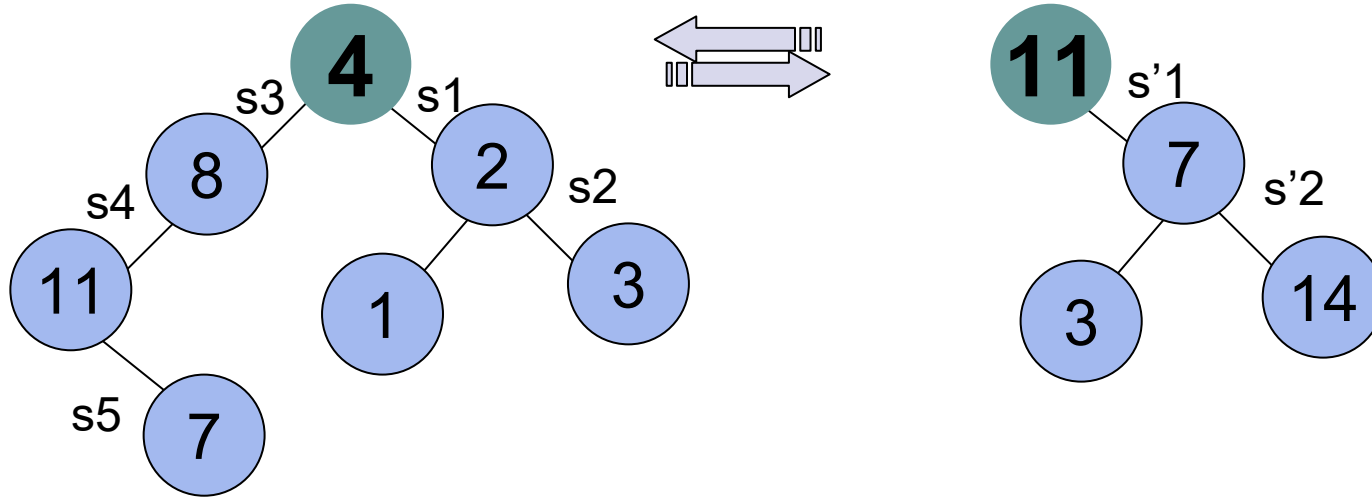
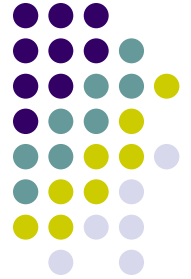
Each root-to-leaf path contains only unique names

# History trees update (1)



Delete sub-trees  
rooted in 11

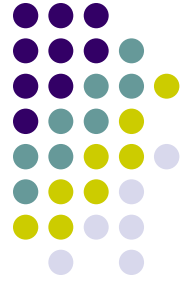
# History trees update (2)



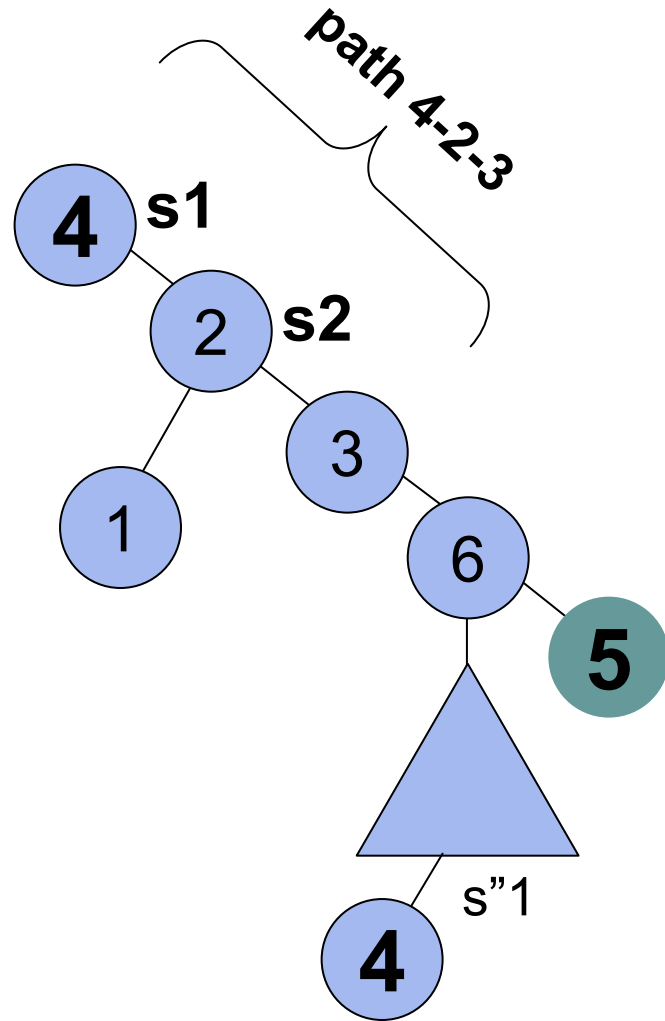
←  
update of tree of **4**

Delete sub-trees  
rooted in 4



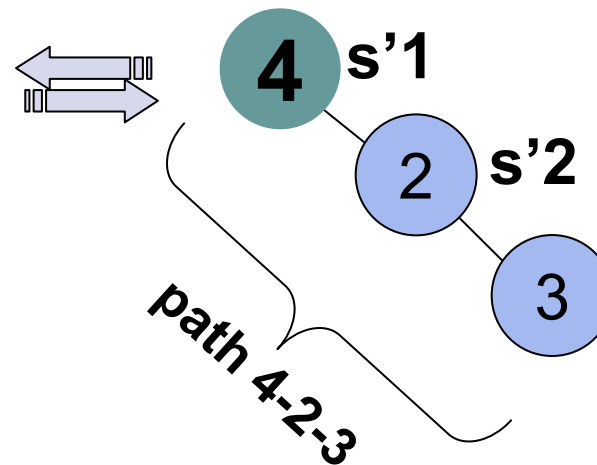


# Collision detection check

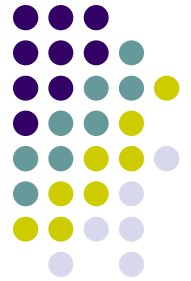


In an interaction  $(a,b)$ ,  
**a (resp. b) checks every path  $p$  from the root that ends up by b (resp. a) and appears in a's (resp. b's) tree.**

**If at least for some  $i$ ,  $s_i = s'_i$ ,  
there is no name collision in  $p$**



# Time and space analysis of the logarithmic time SSLE/Ranking



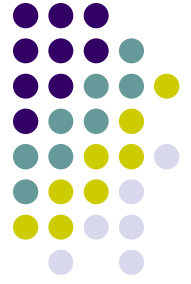
## Time:

- “Ghost names” are detected in  $O(\log N)$ , via roster (“epidemic”) merges, when  $|\text{roster}| > N$
- Name collision detection takes  $O(\log N)$  time:
  - It takes  $O(\log N)$  time whp for some agent  $x$  to hear about two homonyms with corresponding history paths.
  - An homonym will not have any common sync value on a common path, with constant probability.
- Reset takes  $O(\log N)$

## Space:

History tree is the most costly

$O(N^{\log N} \log N)$  bits = exp  $O(N^{\log N} \log N)$  states



# Conclusion

- Time and space optimal counting
- Time optimal SSLE/ranking
- **Self-stabilization is difficult in PP:**
  - In complete graphs with constant memory, only constant (or some very particular) **functions** are computable  
[S. Mathur, R. Ostrovsky: SSS'21]
  - **SSLE** is impossible in complete graphs with constant memory and without N  
[Angluin, Aspnes, Fischer: ACMJ'08], [S. Cai, T. Izumi, and K. Wada: TCS'12]
  - **SS counting and naming** under weak fairness are impossible without a leader (or other assumptions)  
[Beauquier, Clément, Messika, Rosaz, Rozoy: DISC'07]  
[Beauquier, Burman, Sohler: DISC'19]
  - **SS degree recognition** is impossible without the knowledge of N and of the # of possible interactions  
[Sudo, Shibata, Nakamura, Kim, Masuzawa: IEEE TPDS<sup>51</sup>21]



# PP Enhancements for Self-stabilization



- **Limit the interaction topologie:** bounded degree graphs, simple families of graphs:
  - coloring, orientation, spanning-tree [Angluin, Aspnes, Fischer: ACMJ'08]
  - uniform Leader Election (LE) in rings, tori and regular graphs [Chen, Chen: PODC'19, PODC'20]  
[Yokota, Sudo, Masuzawa: IEICE Trans. Fundam. Electron. Commun. Comput. Sci.'21]
- **Partial synchrony**
  - **every agent meets all the others after a bounded number of interactions**
    - mutual exclusion, phase clock, self-stabilizing transformer [BBK TCS'10, COSS'10, BBK TCS'11]
  - **synchronous handshakes** (all agents interact in random order synchronously):
    - periodic functions [Lamani, Yamashita: TPNC'16]
  - **k-wise interaction PP** [Yamauchi, Kijima, Yamashita: SSS'13]
- **Reducing Uniformity: adding memory dependent on N, leader**
  - $\Omega(N)$  states and knowledge of N: SSLE (complete graphs) [Cai, Izumi: SSS'13]
  - $\Omega(N)$  states and/or leader: deterministic oscillators, uniform bipartition, counting, naming [Yasumi, Ooshita, Yamaguchi, Inoue: IEICE Trans.'19] [Cooper, L. Yamauchi: IC'17], [BBCS DISC'15; ABBS'15] [Yamashita, Yamashita, Yamashita: BBS DISC'19]
  - **Mediated PP** (add shared memory for every pair of agents): SSLE [Mizoguchi, Ono, Kijima, Yamashita: DC'12]

adding synchronization to interaction scheduling

reducing uniformity: more memory, leader



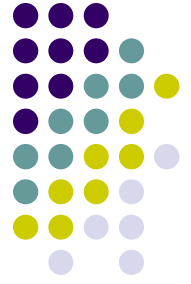
# Relaxed self-stabilization

## Loosely-stabilizing LE

with upper bound  $U$  on  $N$  and

- stabilizing in  $\text{polylog}(N)$  time, but not forever - with  $\text{poly}(N)$  holding time [Sudo, Ooshita, Kakugawa, Masuzawa, Datta, Larmore: TCS'20]
- with  $\exp(N)$  holding time: stabilization  $\Omega(UN)$  and  $\Omega(U)$  states are necessary and sufficient [Izumi: SIROCCO'15]

# Perspectives



- **Study more time-space trade-offs**
  - more on leader election (improve state space), majority, consensus, counting, naming, topology constructions, ...
  - **under different model parameters** (fairness, existence of leader, symmetry in transitions, type of interaction graph ...)
- **General characterization of the computational power**
  - with  $\Omega(N)$  states, for non-simple family of graphs, bounded degree graphs, for other stronger models ...

Thank you for attention!