Liberating distributed consensus

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Distributed Dream

Performance – scalability, low latency, high throughput, low cost, energy efficiency, versatility, adaptability

Reliability – fault-tolerance, dependability, high availability, self-healing, geo-replicated

Correctness – consistency, bug-free, easy to understand

A Hundred Impossibility Proofs for Distributed Computing

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1 Introduction

This talk is about impossibility results in the area of distributed computing. In this category, I include not just results that say that a particular task cannot be accomplished, but also lower bound results, which say that a task cannot be accomplished within a certain bound on cost.

I started out with a simple plan for preparing this talk: I would spend a couple of weeks reading all the impossibility proofs in our field, and would categorize them according to the ideas used. Then I would make wise and general observations, and try to predict where the future of this area is headed. That turned out to be a bit too ambitious; there are many more such results than I thought. Although it is often hard to say what constitutes a "different result", I managed to count over 100 such impossibility proofs! And my search wasn't even very systematic or exhaustive.

It's not quite as hopeless to understand this area as it might seem from the number of papers. Although there are 100 different results, there aren't 100 different ideas. I thought I could contribute something by identifying some of the commonality among the different results.

So what I will do in this talk will be an incomplete version of what I originally intended. I will give you a tour of the impossibility results that I was able to collect. I apologize for not being comprehensive, and in particular for placing perhaps undue emphasis on results I have been involved in (but those are the ones I know best!). I will describe the techniques used, as well as giving some historical perspective. I'll intersperse this with my opinions and observations, and I'll try to collect what I consider to be the most important of these at the end. Then I'll make some suggestions for future work.

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Keywords: impossibility, distributed computing

2 The Results

I classified the impossibility results I found into the following categories: shared memory resource allocation, distributed consensus, shared registers, computing in rings and other networks, communication protocols, and miscellaneous.

2.1 Shared Memory Resource Allocation

This was the area that introduced me not only to the possibility of doing impossibility proofs for distributed computing, but to the entire distributed computing research area.

In 1976, when I was at the University of Southern California, Armin Cremers and Tom Hibbard were playing with the problem of *mutual exclusion* (or allocation of one resource) in a shared-memory environment. In the environment they were considering, a group of asynchronous processes communicate via shared memory, using operations such as read and write or test-and-set.

The previous work in this area had consisted of a series of papers by Dijkstra [38] and others, each presenting a new algorithm guaranteeing mutual exclusion, along with some other properties such as progress and fairness. The properties were specified somewhat loosely; there was no formal model used for

[PODC'89]

Impossibility of Distributed Consensus with One Faulty Process

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Abstract. The consensus problem involves an asynchronous system of processes, some of which may be unreliable. The problem is for the reliable processes to agree on a binary value. In this paper, it is shown that every protocol for this problem has the possibility of nontermination, even with only one faulty process. By way of contrast, solutions are known for the synchronous case, the "Byzantine Generals" problem.

Categories and Subject Descriptors: C.2.2 **[Computer-Communication Networks]**: Network Protocols–*protocol architecture*; C.2.4 **[Computer-Communication Networks]**: Distributed Systems–*distributed applications; distributed databases; network operating systems*; C.4 **[Performance of Systems]**: Reliability, Availability, and Serviceability; F.1.2 **[Computation by Abstract Devices]**: Modes of Computation–*parallelism*, H.2.4 **[Database Management]**: Systems–*distributed systems*; *transaction processing*

General Terms: Algorithms, Reliability, Theory

Additional Key Words and Phrases: Agreement problem, asynchronous system, Byzantine Generals problem, commit problem, consensus problem, distributed computing, fault tolerance, impossibility proof, reliability

1. *Introduction*

The problem of reaching agreement among remote processes is one of the most fundamental problems in distributed computing and is at the core of many

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This work was originally presented at the 2nd ACM Symposium on Principles of Database Systems, March 1983.

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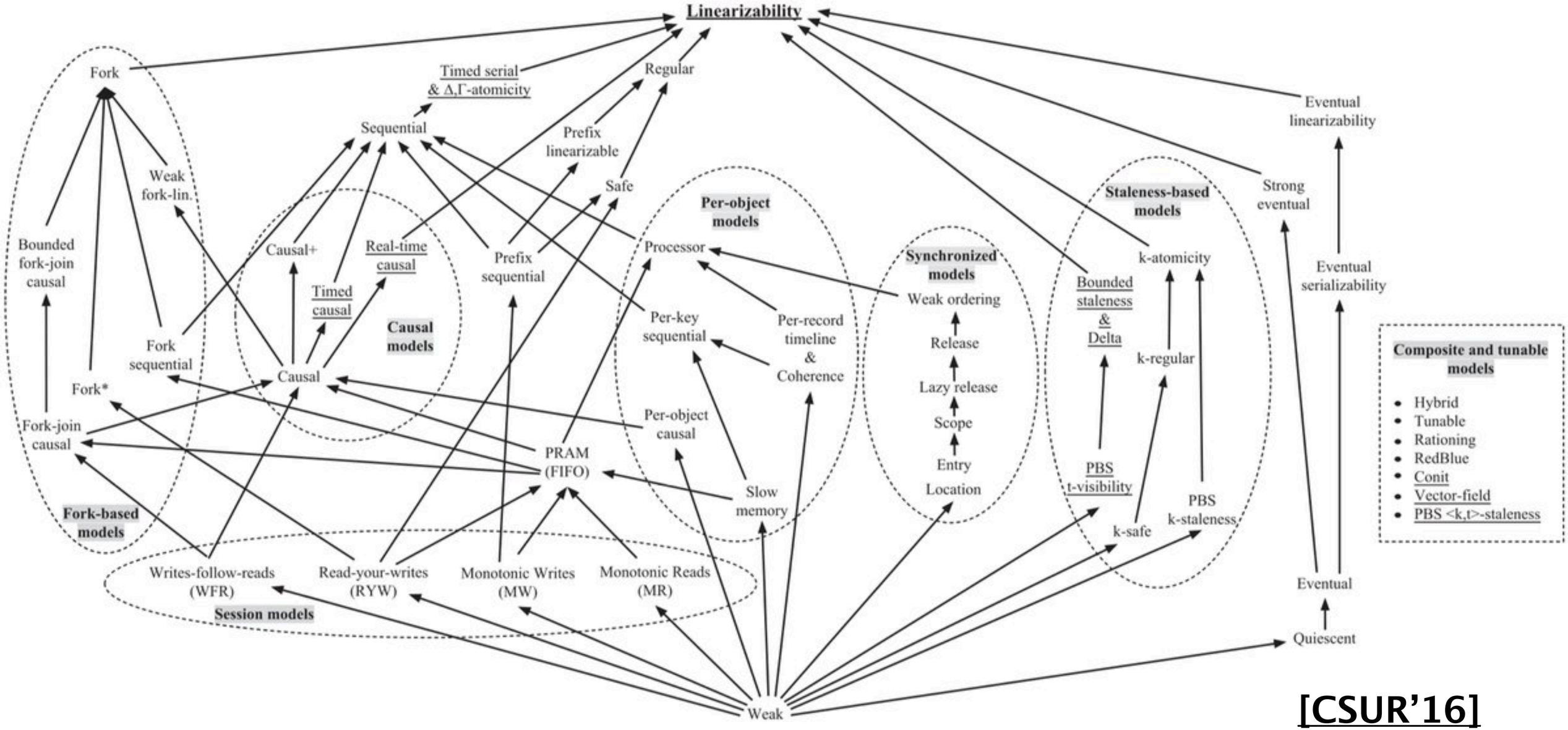
[JACM'85]


Linearizability
Fork
Timed serial & Δ,Γ-atomicity
Regular
Eventual linearizability
Sequential
Prefix linearizable
Weak fork-lin.
Safe
Strong eventual
Per-object models
Staleness-based models
Bounded fork-join causal
Causal+
Real-time causal
Prefix sequential
Processor
Synchronized models
k-atomicity
Timed causal
Causal models
Weak ordering
Eventual serializability
Fork sequential
Per-key sequential
Per-record timeline & Coherence
Bounded staleness & Delta
Release
k-regular
Fork*
Causal
Lazy release
Fork-join causal
Per-object causal
Scope
PRAM (FIFO)
Entry
PBS t-visibility
PBS k-staleness
Slow memory
Location
Fork-based models
k-safe
Writes-follow-reads (WFR)
Read-your-writes (RYW)
Monotonic Writes (MW)
Monotonic Reads (MR)
Eventual
Session models
Quiescent
Weak
Composite and tunable models
Hybrid
Tunable
Rationing
RedBlue
Conit
Vector-field
PBS <k,t>-staleness

[CSUR'16]

Deciding a single value

In this talk, we will reach agreement over a single value

The system is comprised of:

- **servers** which store the value
- **clients** which propose values and learn the decided value

This is not a blockchain talk

Requirements of consensus

Safety - All clients must learn the same decided value

Progress - Eventually, all clients must learn the decided value

Requirements of consensus

Safety - All clients must learn the same decided value

Progress - Eventually, all clients must learn the decided value

Safety must hold even in unreliable and asynchronous systems

The Part-Time Parliament

LESLIE LAMPORT
Digital Equipment Corporation

Recent archaeological discoveries on the island of Paxos reveal that the parliament functioned despite the peripatetic propensity of its part-time legislators. The legislators maintained consistent copies of the parliamentary record, despite their frequent forays from the chamber and the forgetfulness of their messengers. The Paxon parliament's protocol provides a new way of implementing the state machine approach to the design of distributed systems.

Categories and Subject Descriptors: C.2.4 [**Computer-Communication Networks**]: Distributed Systems—*network operating systems*; D.4.5 [**Operating Systems**]: Reliability—*fault-tolerance*; J.1 [**Computer Applications**]: Administrative Data Processing—*government*

General Terms: Design, Reliability

Additional Key Words and Phrases: State machines, three-phase commit, voting

1. THE PROBLEM

1.1 The Island of Paxos

Early in this millennium, the Aegean island of Paxos was a thriving mercantile center.[1] Wealth led to political sophistication, and the Paxons replaced their ancient theocracy with a parliamentary form of government. But trade came before civic duty, and no one in Paxos was willing to devote his life to Parliament. The Paxon Parliament had to function even though legislators continually wandered in and out of the parliamentary Chamber.

The problem of governing with a part-time parliament bears a remarkable correspondence to the problem faced by today's fault-tolerant distributed systems, where legislators correspond to processes, and leaving the Chamber corresponds to failing. The Paxons' solution may therefore be of some interest to computer scientists. I present here a short history of the Paxos Parliament's protocol, followed by an even shorter discussion of its relevance for distributed systems.

[TOCS'98]

Theory perspective

"The Paxos algorithm, when presented in plain English, is very simple."

"The Paxos algorithm … is among the simplest and most obvious of distributed algorithms"

"… this consensus algorithm follows almost unavoidably from the properties we want it to satisfy."

Leslie Lamport, Paxos Made Simple

Engineering perspective

"Paxos is exceptionally difficult to understand… few people succeed in understanding it, and only with great effort. …"

"… we found few people who were comfortable with Paxos, even among seasoned researchers."

"We concluded that Paxos does not provide a good foundation either for system building or for education."

Diego Ongaro and John Ousterhout,
In Search of an Understandable
Consensus Algorithm

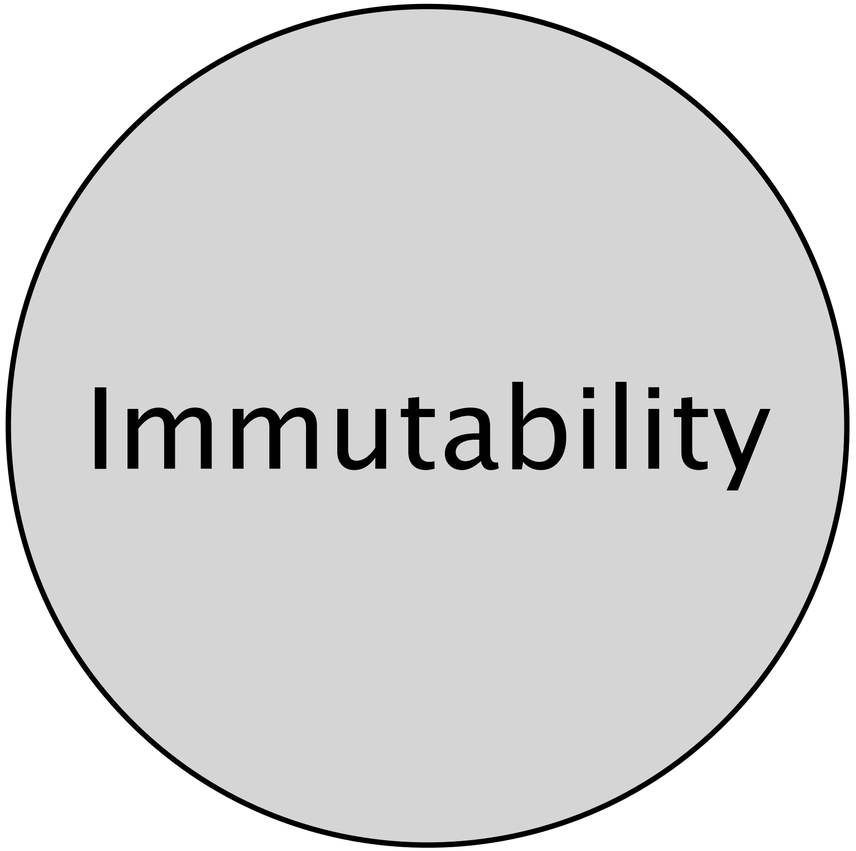
Limitations of Paxos

Limitations of Paxos

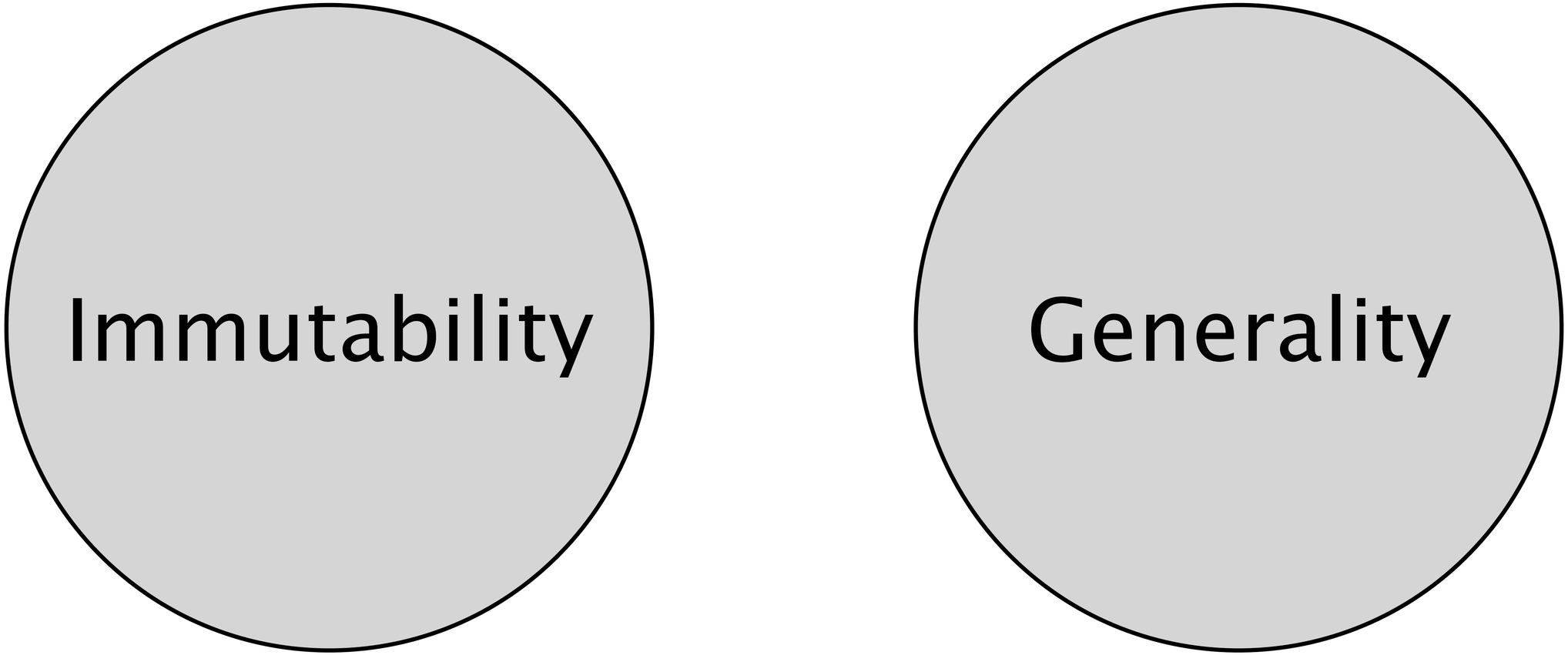
Limitations of Paxos

Back to basics

Back to basics



Back to basics



Today's Talk

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Part 1

We reframe the problem of distributed consensus.

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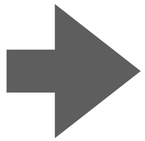

Part 2

We generalise the Paxos algorithm.

Today's Talk

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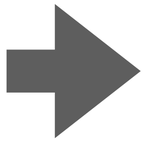

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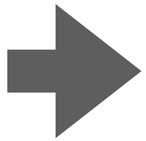
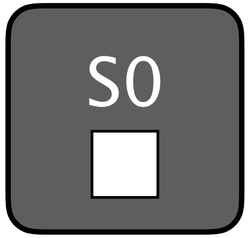


Part 3

We introduce the All aboard algorithm.

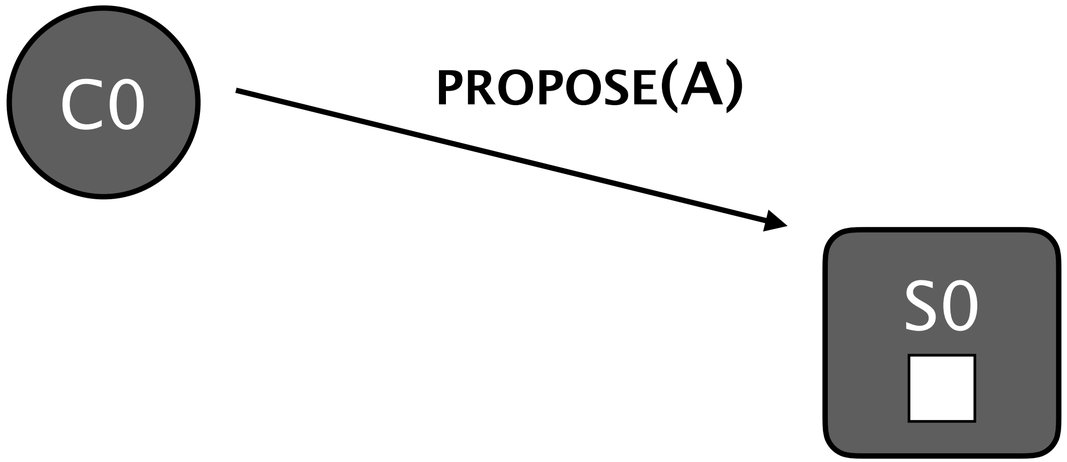
Part 1
Distributed consensus using write-once registers

Single server



Single server

Single server



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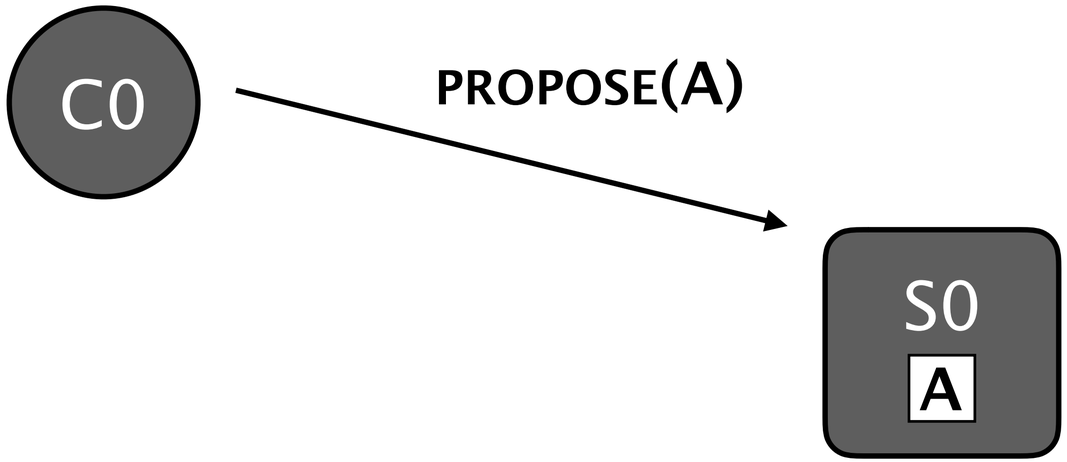

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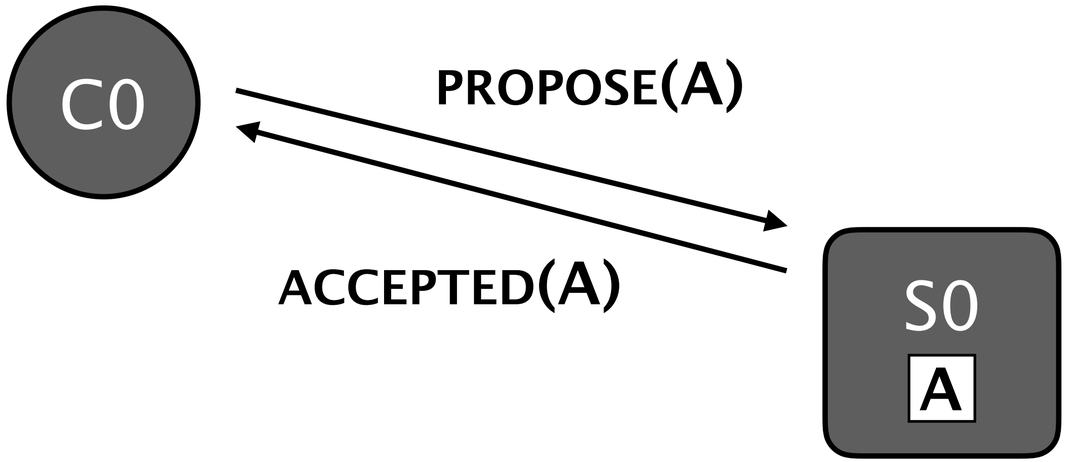

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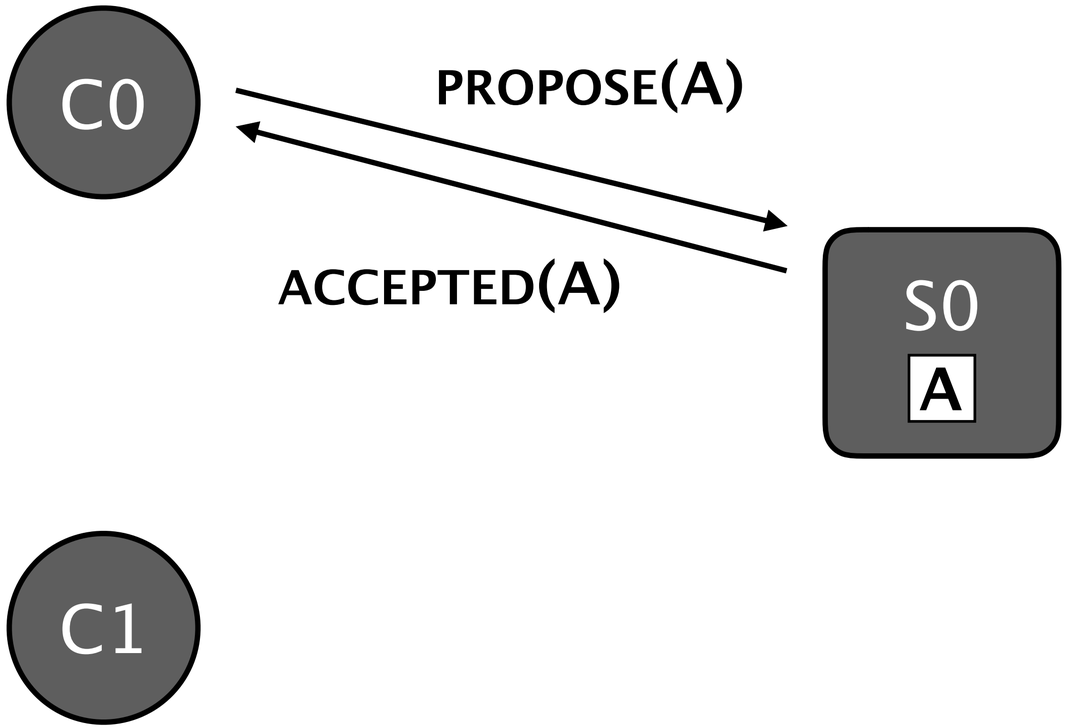

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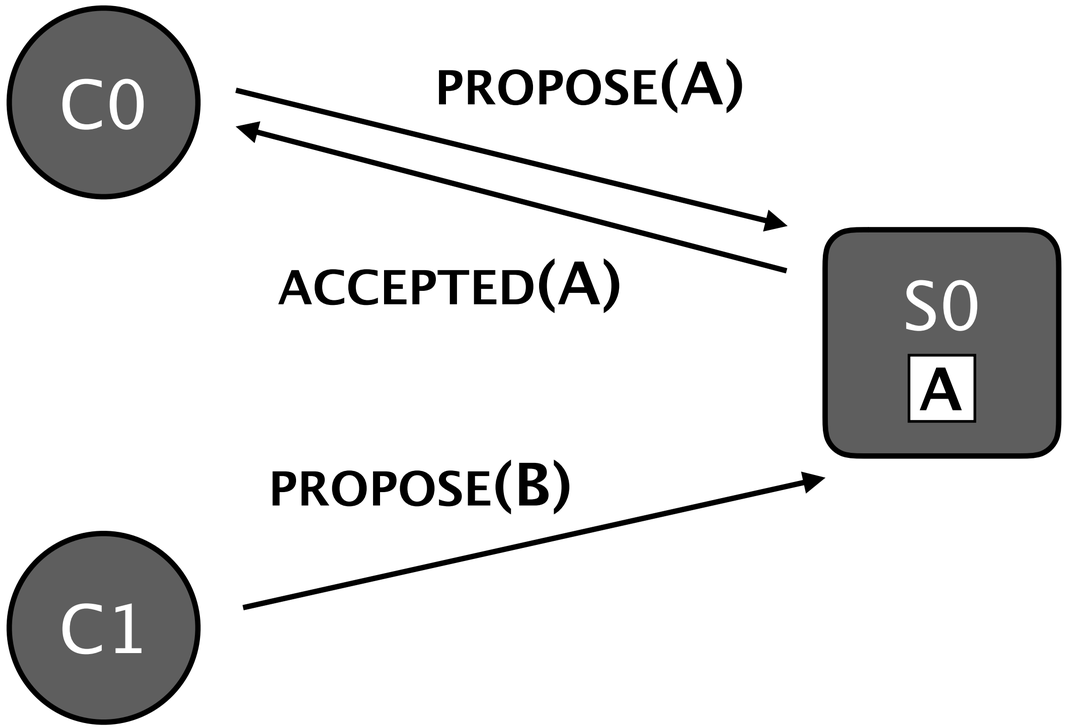

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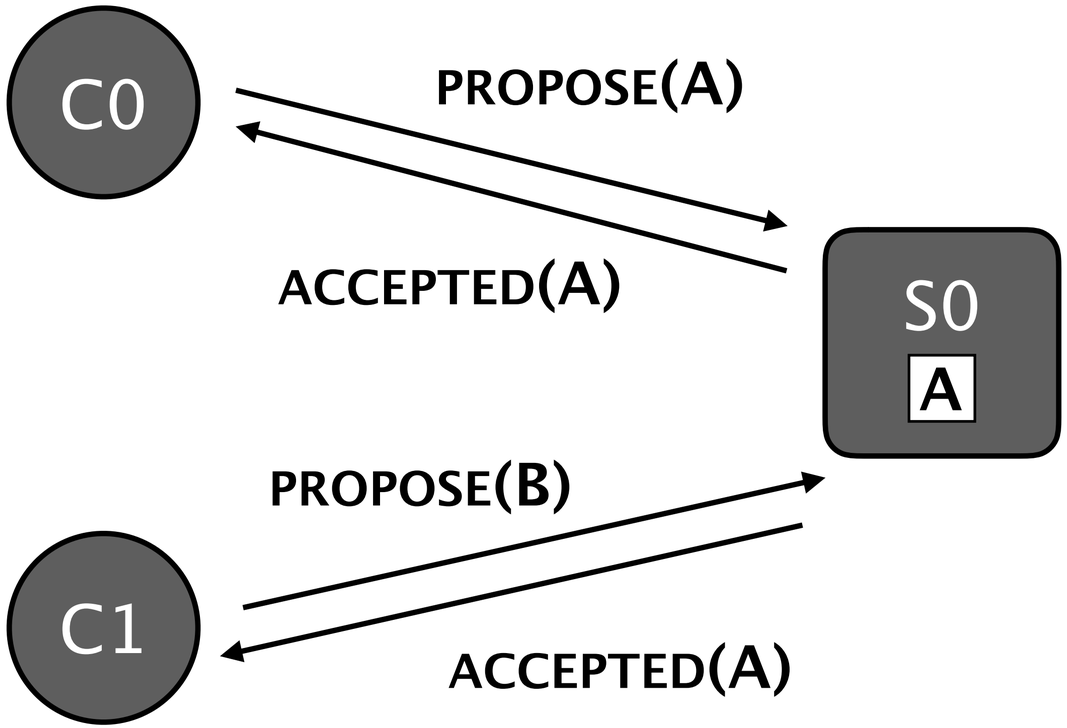

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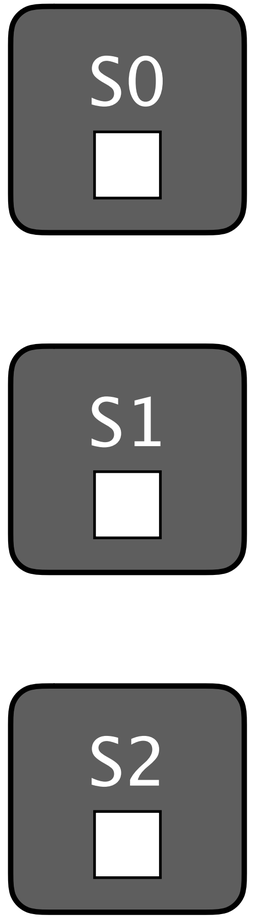

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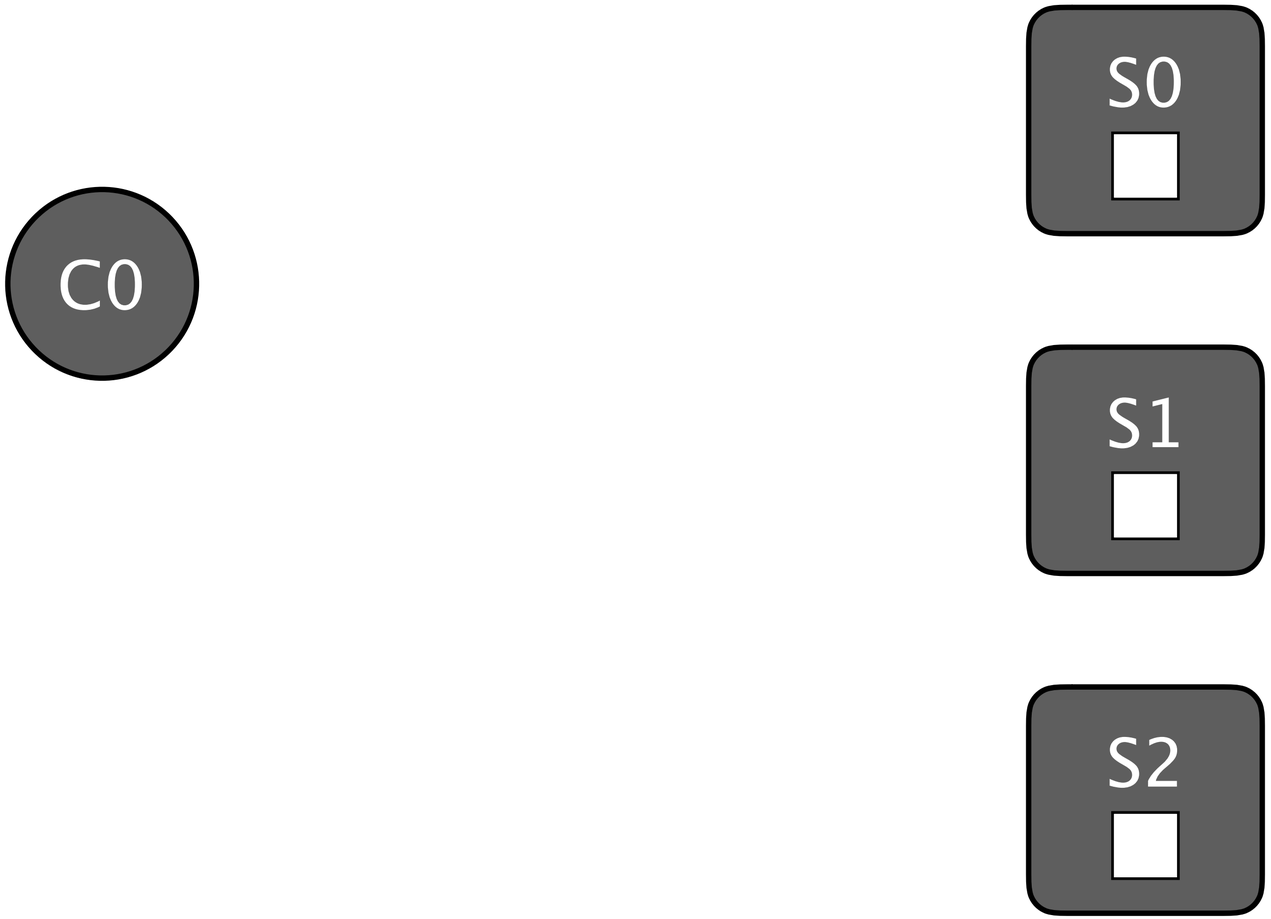

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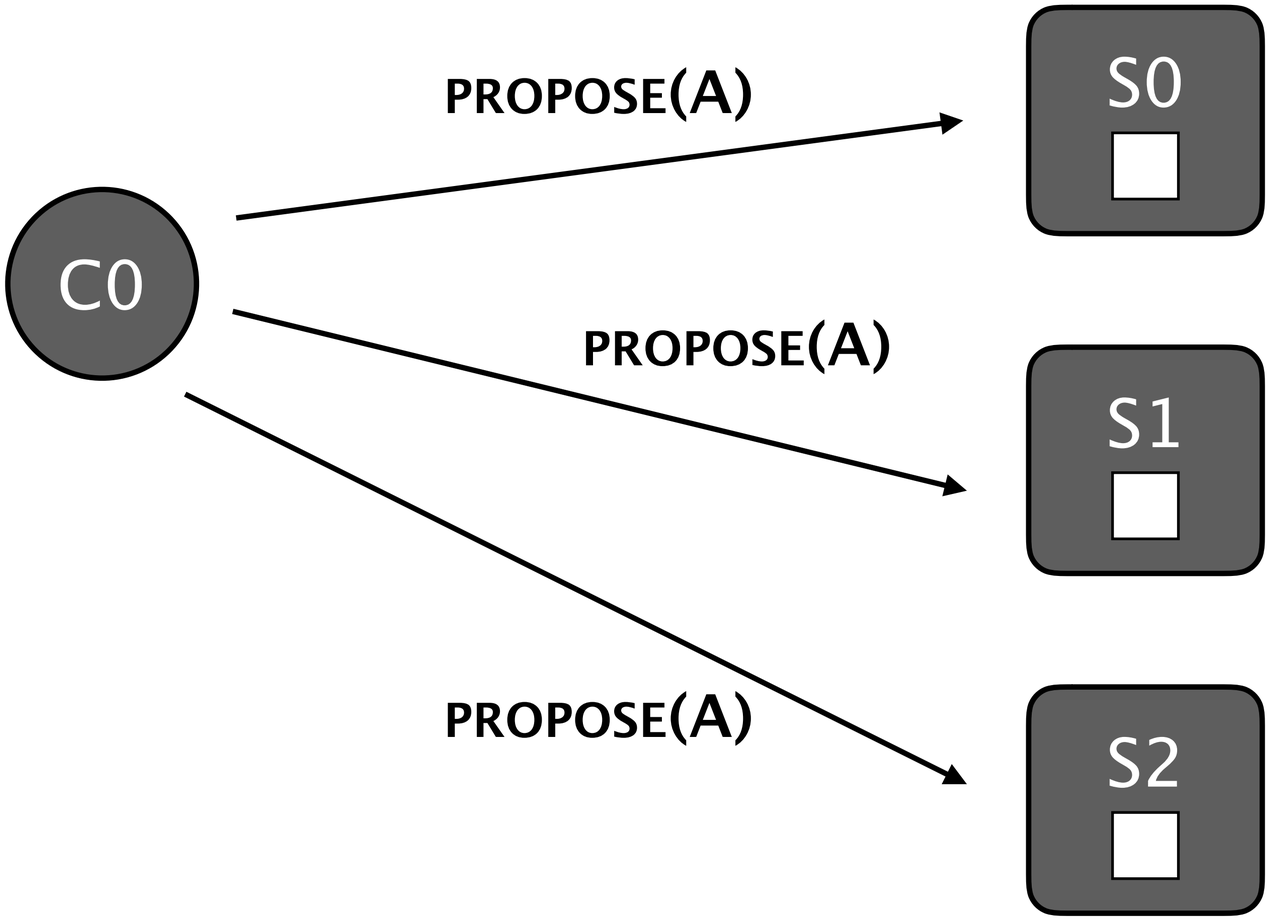

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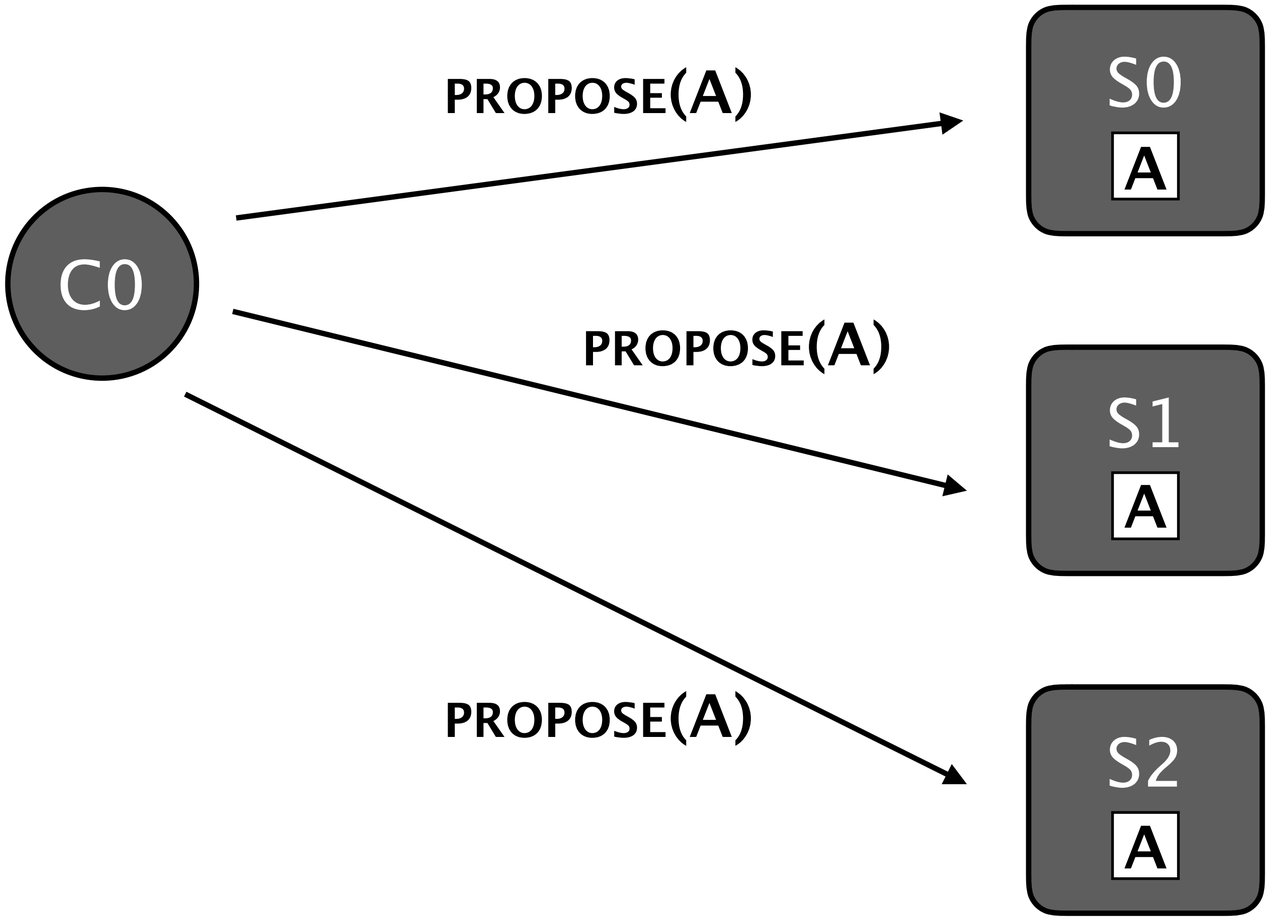

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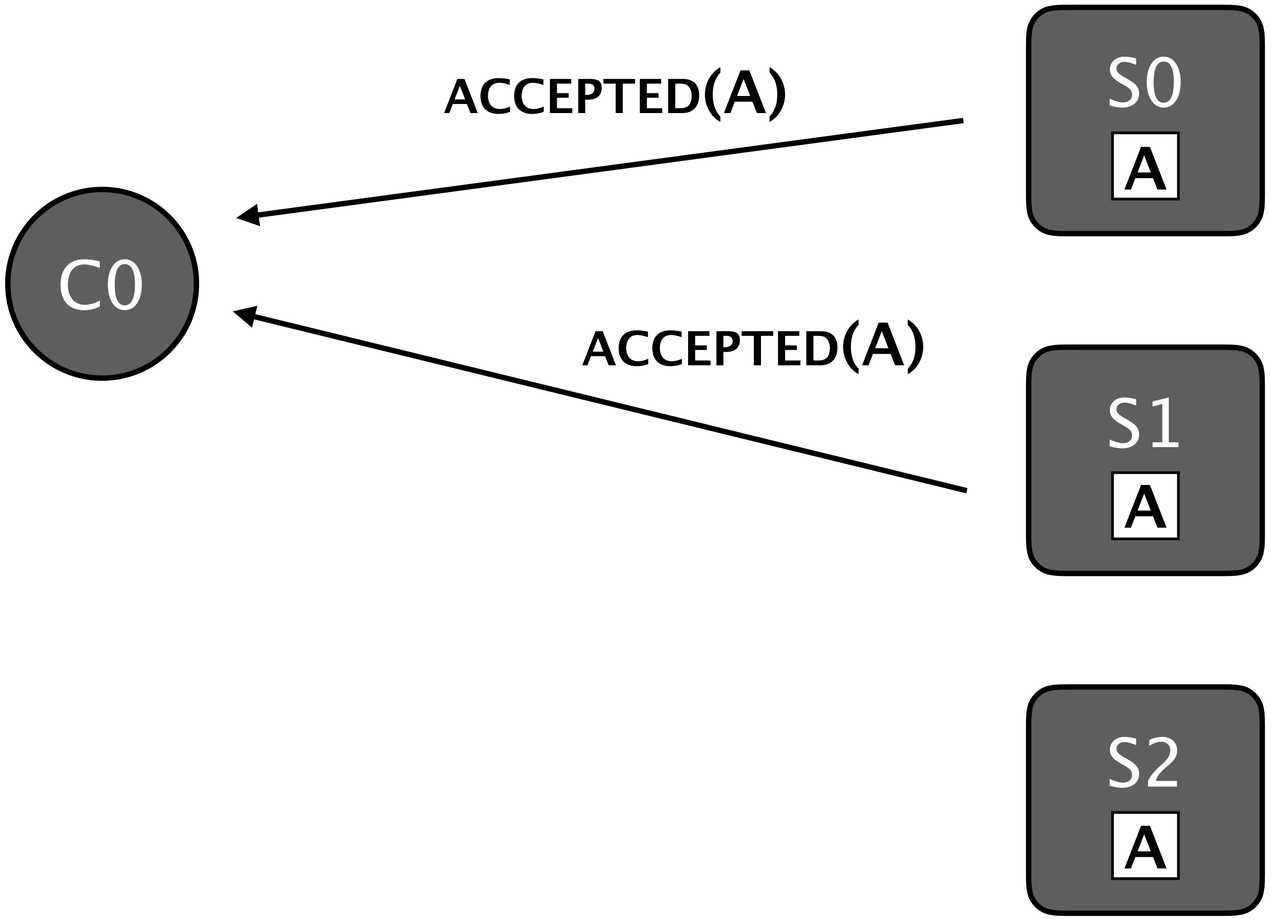

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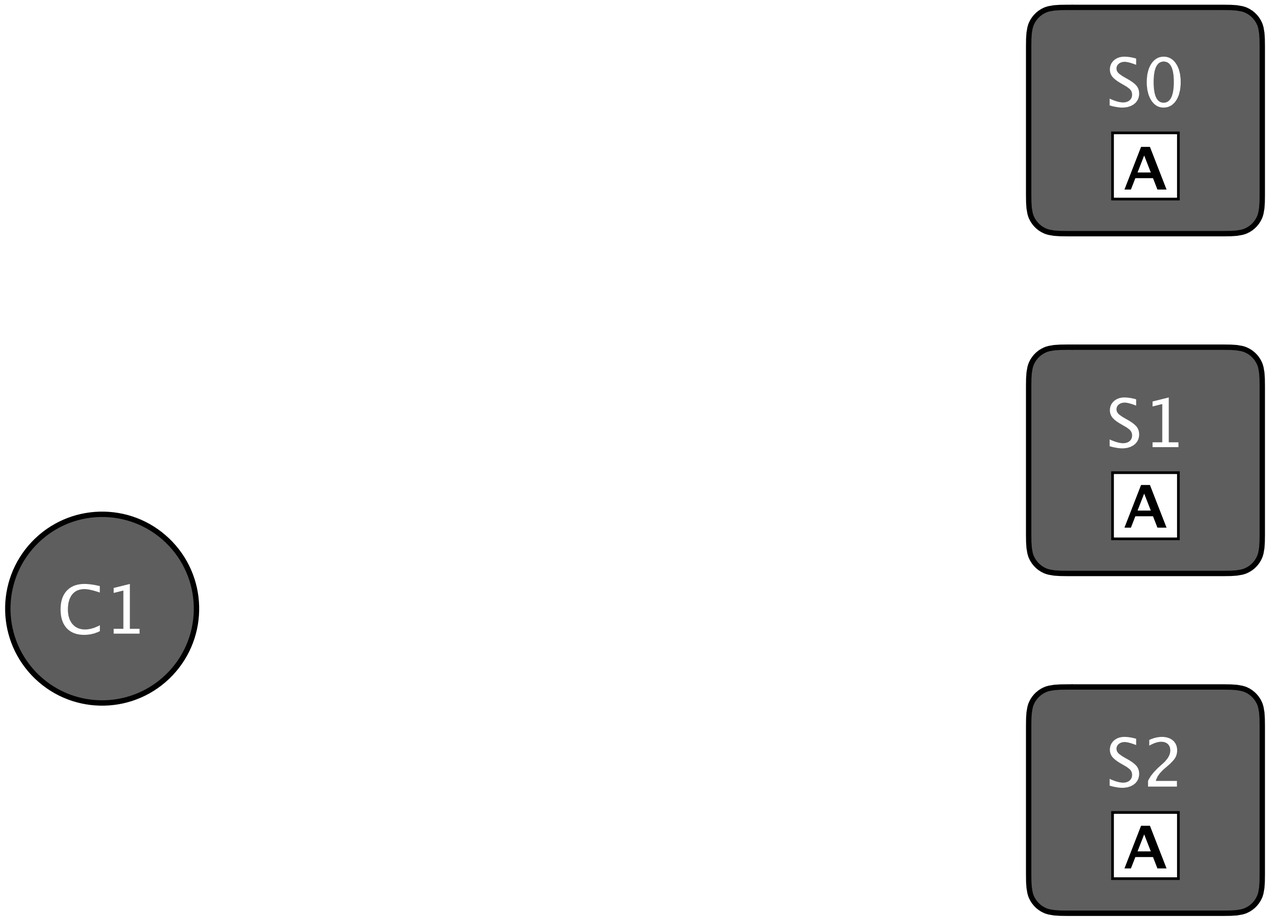

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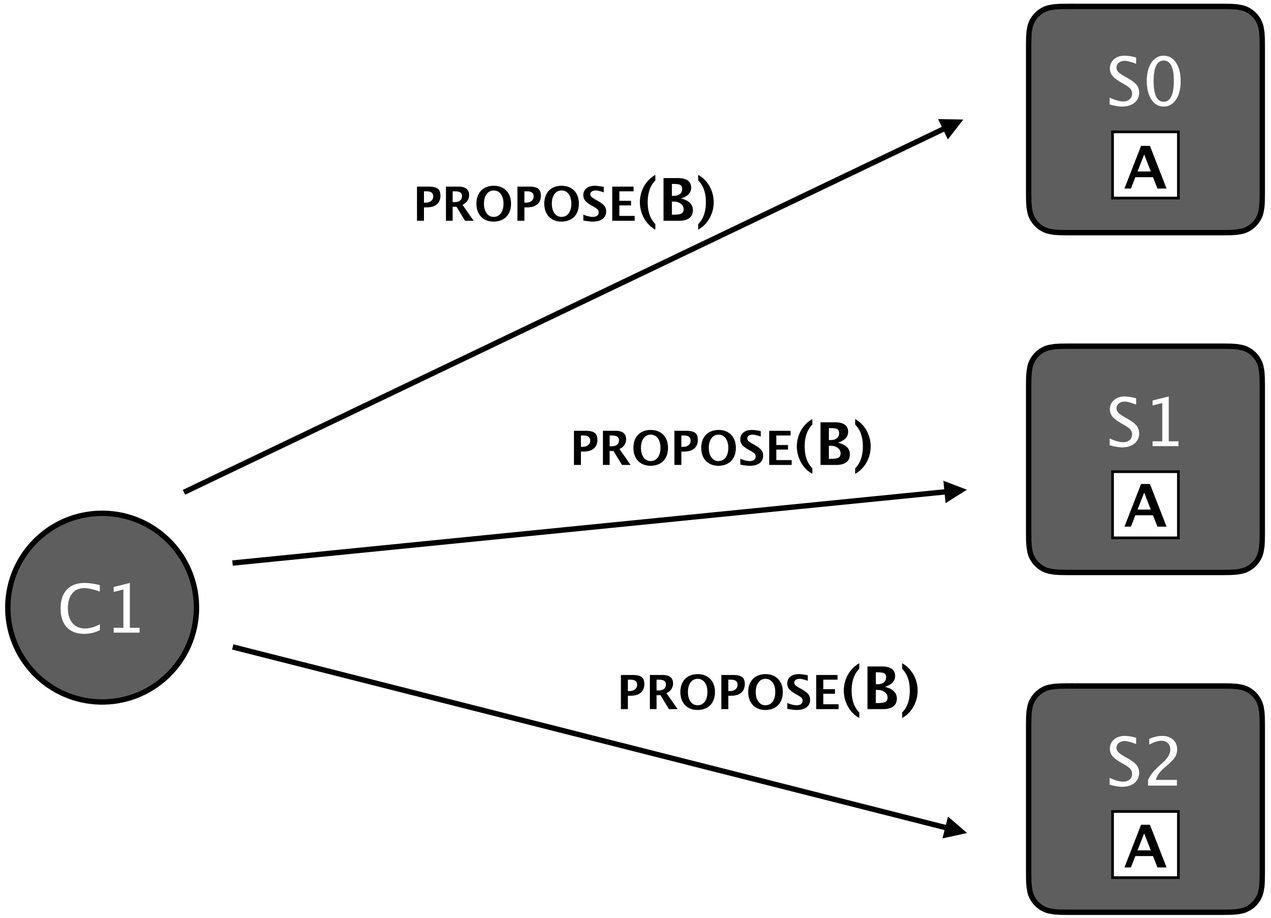

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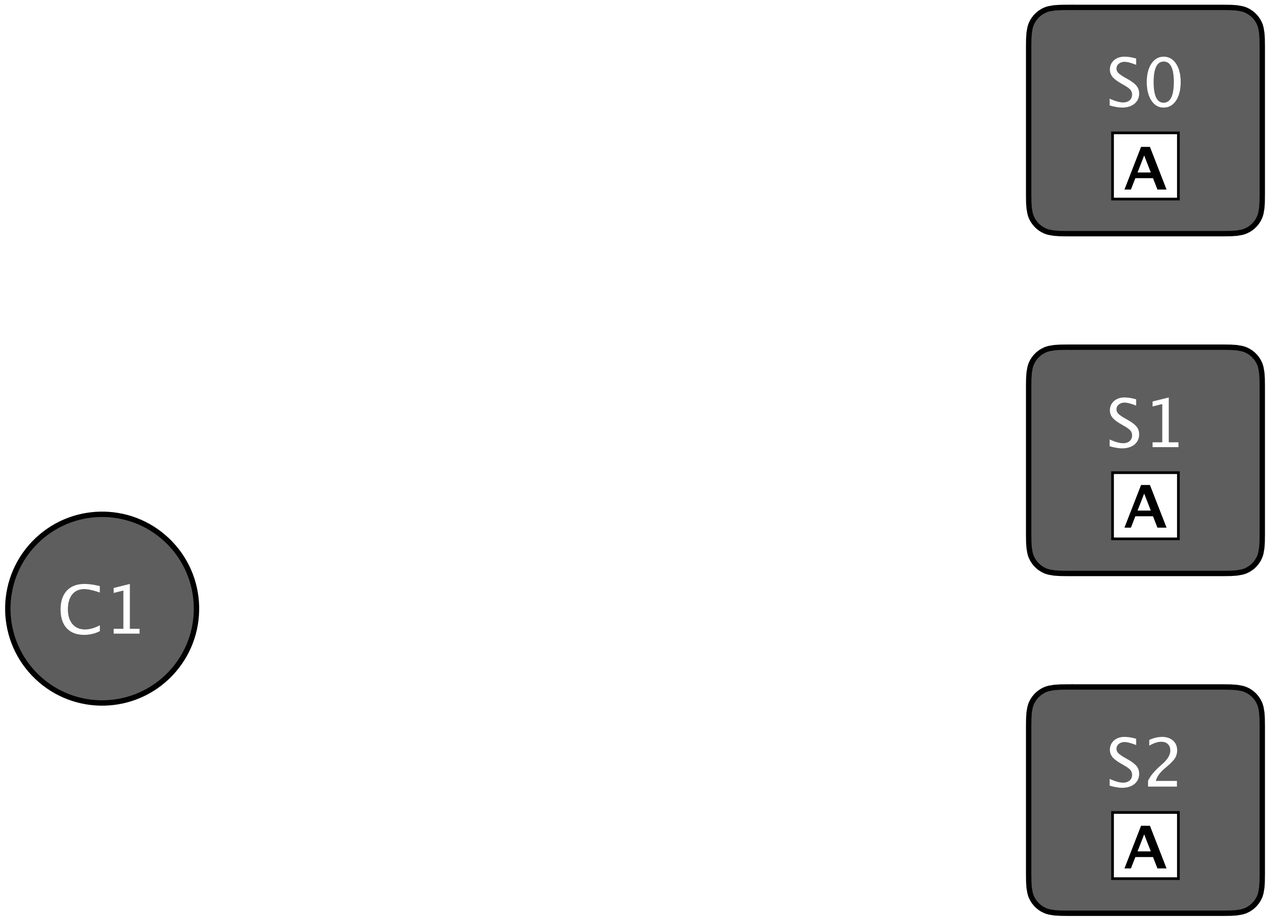

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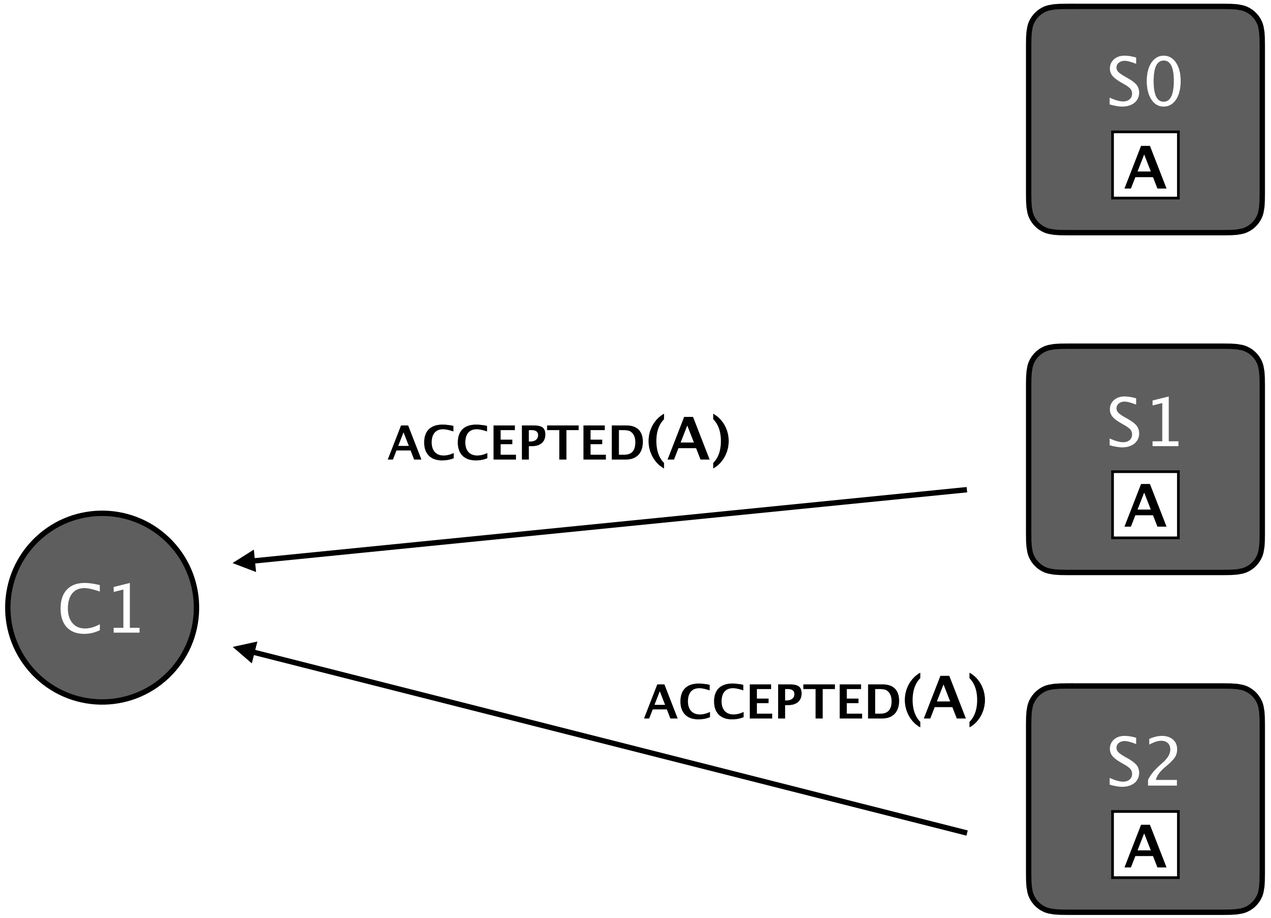
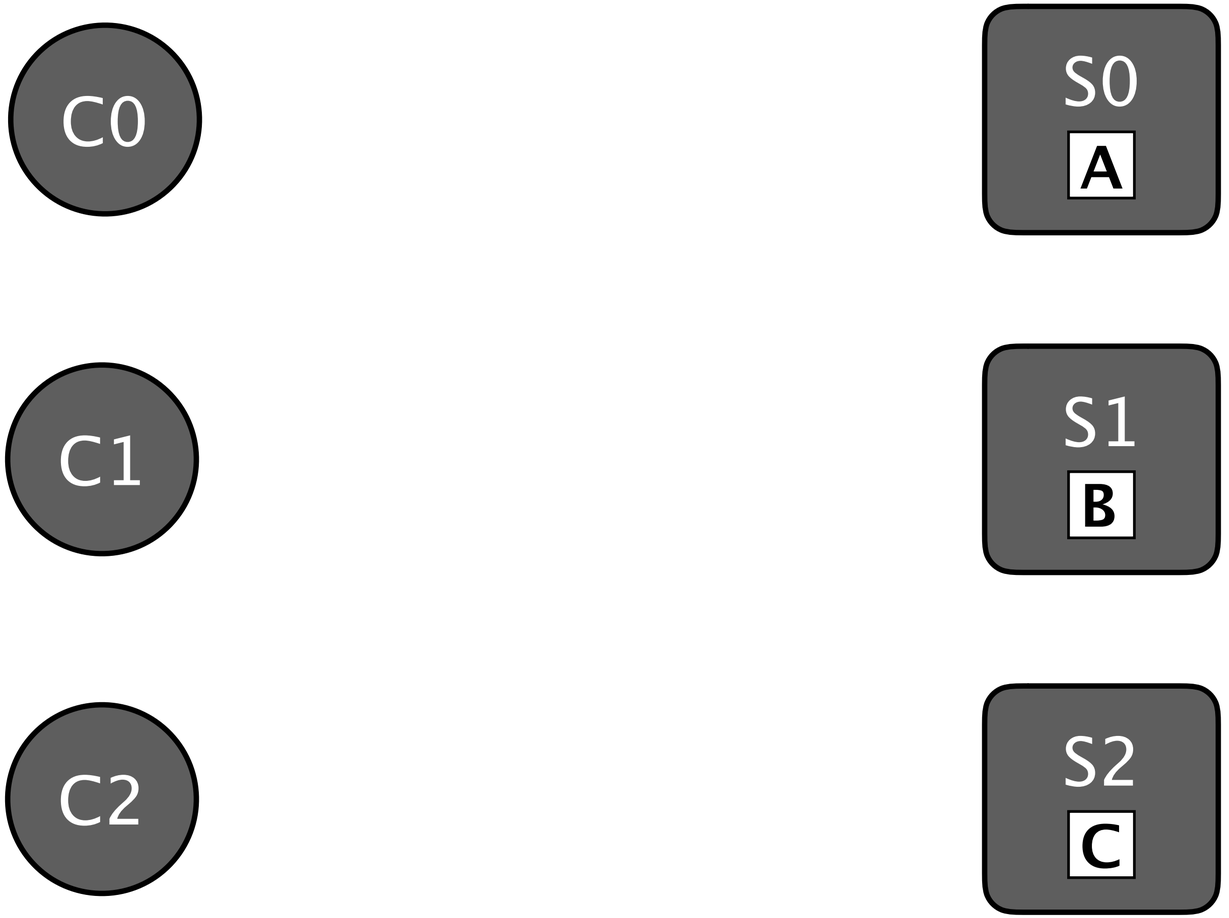
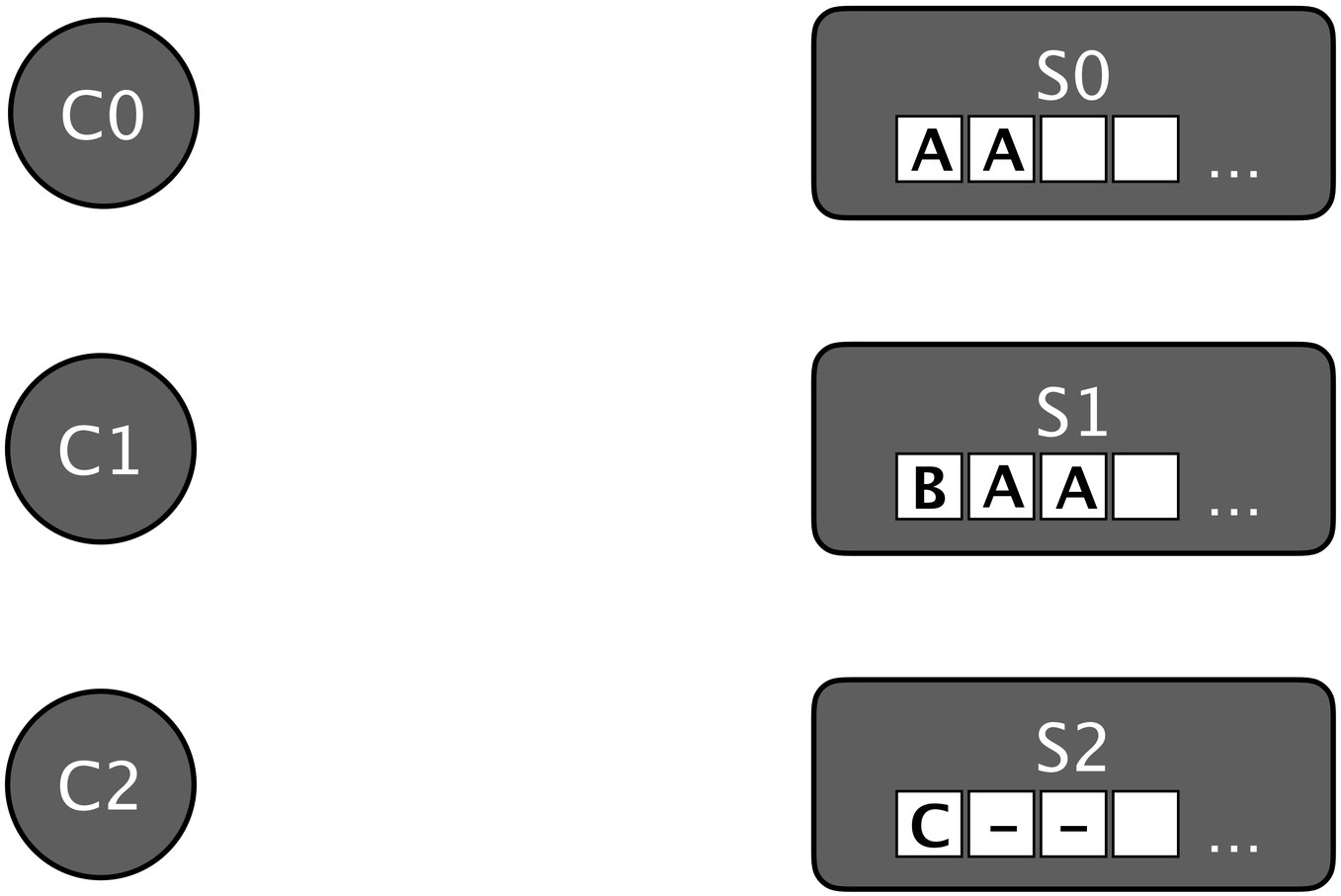


Split Votes



Multiple write-once registers

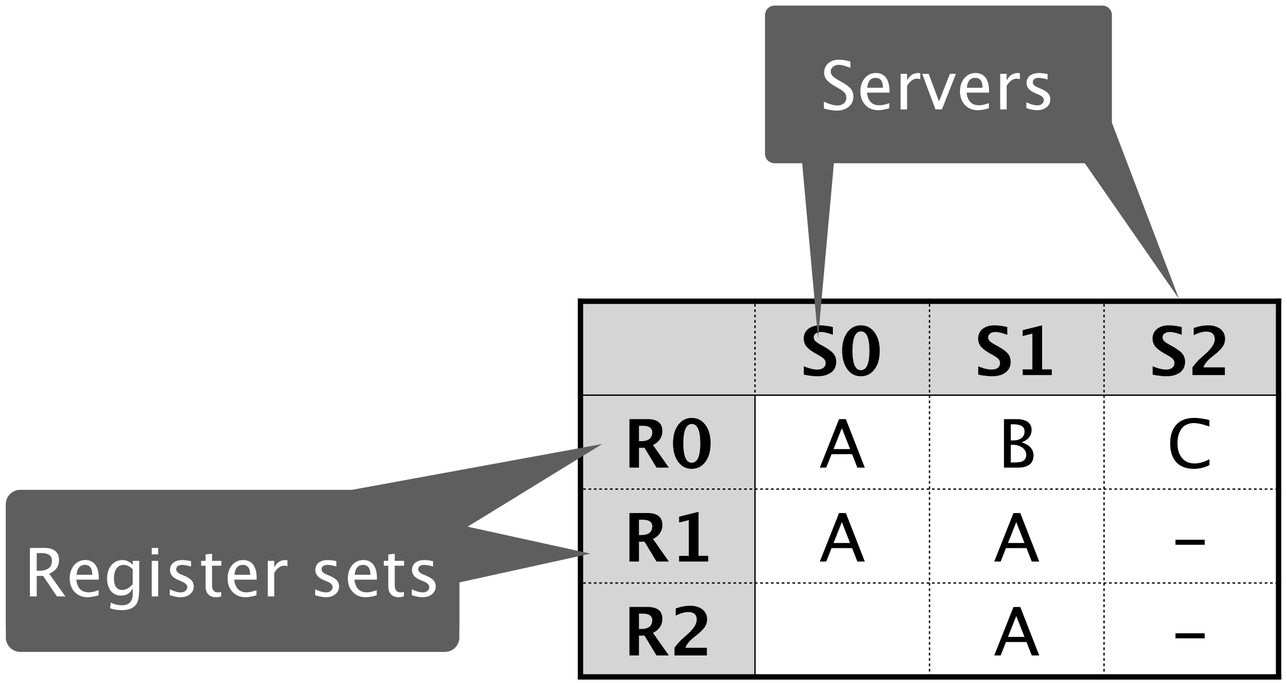


Example state table

Example state table

	S0	S1	S2
R0	A	B	C
R1	A	A	-
R2		A	-

Example state table



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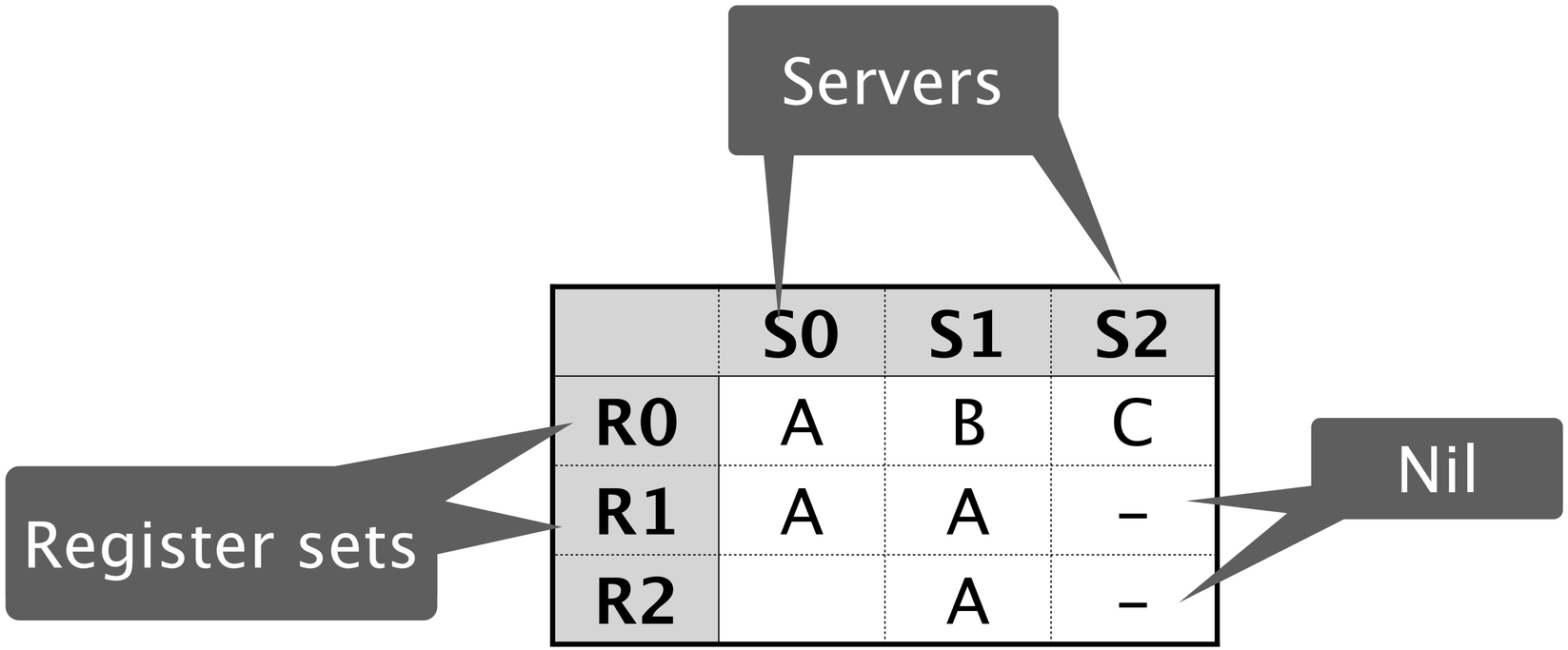

Making decisions

A value is **decided** when it has been written to the same register on a subset of servers, known as a **quorum**.

Example quorum table

	Quorums
R0	{S0,S1}
R1	{S2,S3}
R2+	{S0,S1} {S2,S3}

Example decision table

	Quorum	Decision?
R0	{S0,S1}	No
R1	{S2,S3}	Yes A
R2	{S0,S1}	Any
	{S2,S3}	Maybe A

Example decision table

No decision can be made by this quorum

	Quorum	Decision?
R0	{S0,S1}	No
R1	{S2,S3}	Yes A
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No decision can be made by this quorum

This quorum decided A

Example decision table

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R2	{S0,S1}	Any
	{S2,S3}	Maybe A

No decision can be made by this quorum

This quorum decided A

This quorum can decide any value

Example decision table

	Quorum	Decision?
R0	{S0,S1}	No
R1	{S2,S3}	Yes A
R2	{S0,S1}	Any
	{S2,S3}	Maybe A

No decision can be made by this quorum

This quorum decided A

This quorum can decide any value

If a decision is made by this quorum, it will decide A

Putting it all together

Putting it all together

	Quorums
R0+	{S0,S1} {S1,S2} {S0,S2}

Putting it all together

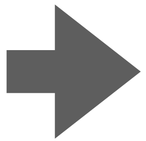
	Quorums
R0+	{S0,S1} {S1,S2} {S0,S2}

	S0	S1	S2
R0	–	A	A
R1	–	A	

Putting it all together

	Quorums
R0+	{S0,S1} {S1,S2} {S0,S2}

	S0	S1	S2
R0	–	A	A
R1	–	A	



	Quorum	Decision?
R0	{S0,S1}	No
	{S0,S2}	No
	{S1,S2}	Yes A
R1	{S0,S1}	No
	{S0,S2}	No
	{S1,S2}	Maybe A

Putting it all together

Putting it all together

	Quorums
R0	{S0,S1,S2,S3}
R1+	{S0,S1} {S2,S3}

Putting it all together

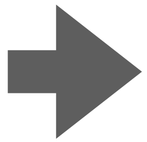
	Quorums
R0	{S0,S1,S2,S3}
R1+	{S0,S1} {S2,S3}

	S0	S1	S2	S3
R0	B	B		A
R1	–	–	A	A
R2	A	A		

Putting it all together

	Quorums
R0	{S0,S1,S2,S3}
R1+	{S0,S1} {S2,S3}

	S0	S1	S2	S3
R0	B	B		A
R1	–	–	A	A
R2	A	A		



	Quorum	Decision?
R0	{S0,S1,S2,S3}	No
R1	{S0,S1}	No
	{S2,S3}	Yes A
R2	{S0,S1}	Yes A
	{S2,S3}	Any

We can decide multiple values

We can decide multiple values

	Quorums
R0	{S0,S1,S2,S3}
R1+	{S0,S1} {S2,S3}

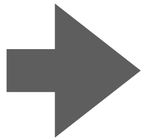
	S0	S1	S2	S3
R0	–	A	A	
R1	C	C	A	A

	Quorum	Decision?
R0	{S0,S1,S2,S3}	No
R1	{S0,S1}	Yes C
	{S2,S3}	Yes A

We can decide multiple values

	Quorums
R0+	{S0,S1} {S1,S2} {S0,S2}

	S0	S1	S2
R0	C	A	A
R1	B	B	A



	Quorum	Decision?
R0	{S0,S1}	No
	{S0,S2}	No
	{S1,S2}	Yes A
R1	{S0,S1}	Yes B
	{S0,S2}	No
	{S1,S2}	No

Safety

Before a client writes a value to register i it must ensure that no other values could be decided in register sets 0 to i.

Part 2
Generalising Paxos

Safety

Before a client writes a value to register i it must ensure that:

1. No other values could be decided in register set i
2. No other values could be decided in register sets 0 to i-1

Register allocation rule

We allocate registers to clients round robin and require clients to write at most one value to each of their allocated registers.

Client	Registers
C0	R0, R3, …
C1	R1, R4, …
C2	R2, R5, …

Safety

Before a client writes a value to register i it must ensure that:

1. No other values could be decided in register set i
2. No other values could be decided in register sets 0 to i-1

Register allocation rule

Value selection rule

We require clients to read one register from each quorum of register sets 0 to i-1 and ensure that:

1. All of the registers are written, and

2. If any registers contain values, the client must write the value from the greatest register.

Safety

Before a client writes a value to register i it must ensure that:

1. No other values could be decided in register set i

Register allocation rule

2. No other values could be decided in register sets 0 to i-1

Value selection rule

Classic Paxos

Paxos is a two phase consensus algorithm.

- **Phase one** ensures the safety of phase two.
- **Phase two** writes a value to the servers to achieve consensus.

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	Quorums
R0+	{S0,S1} {S1,S2} {S0,S2}

Classic Paxos - Phase one

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- The client chooses an allocated register set i and sends **PREPARE(i)** to all servers.

Classic Paxos - Phase one

- The client chooses an allocated register set i and sends **PREPARE(i)** to all servers.
- Each server writes nil in any unwritten registers from 0 to i-1 and replies with the register number j and value w of the greatest non-nil register using **PROMISED(i,j,w)**.

Classic Paxos - Phase one

- The client chooses an allocated register set i and sends **PREPARE(i)** to all servers.
- Each server writes nil in any unwritten registers from 0 to i-1 and replies with the register number j and value w of the greatest non-nil register using **PROMISED(i,j,w)**.
- When **PROMISED(i,**…**)** has been received from a quorum of servers, the client chooses the value v from the greatest register or its own value if none exists.

Classic Paxos - Phase two

Classic Paxos - Phase two

- The client sends **PROPOSE(i,v)** to all servers.

Classic Paxos - Phase two

- The client sends **PROPOSE(i,v)** to all servers.
- Each server checks if register i is unwritten. If so, it writes the value v to register i and replies with **ACCEPTED(i)**.

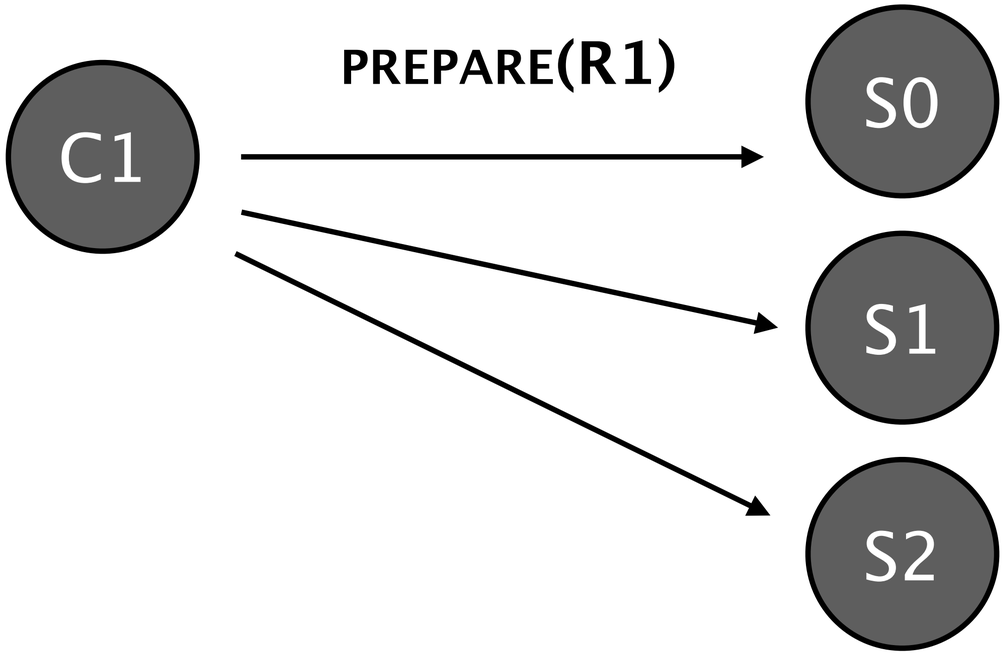
Classic Paxos - Phase two

- The client sends **PROPOSE(i,v)** to all servers.
- Each server checks if register i is unwritten. If so, it writes the value v to register i and replies with **ACCEPTED(i)**.
- The client terminates when **ACCEPTED(i)** has been received from a quorum of servers.

Example – Phase one

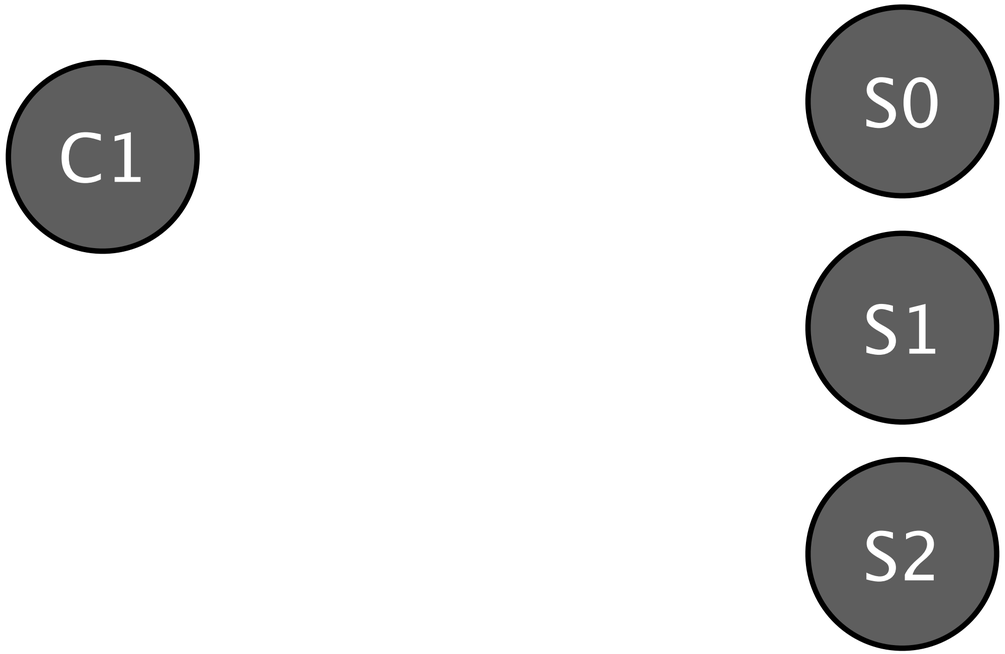
	S0	S1	S2
R0			
R1			
R2			
R3			

Example – Phase one



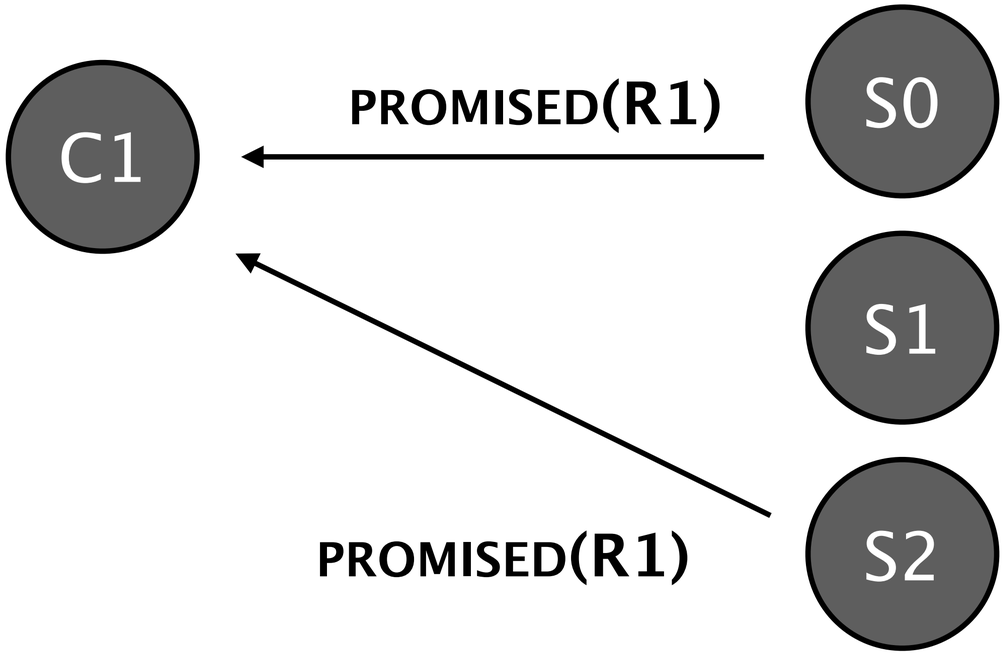
	S0	S1	S2
R0			
R1			
R2			
R3			

Example – Phase one



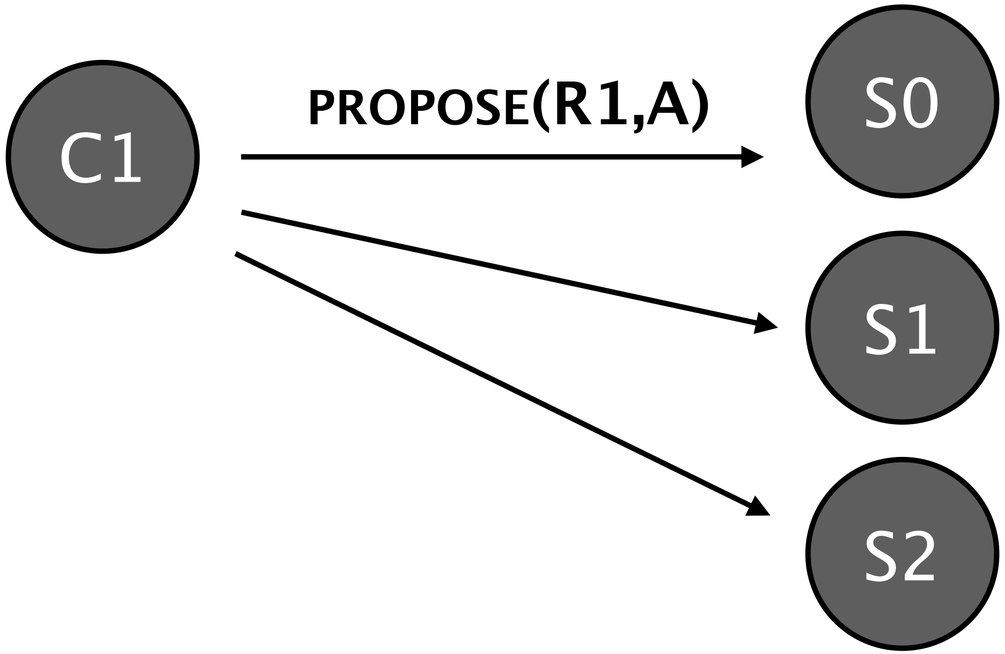
	S0	S1	S2
R0	–	–	–
R1			
R2			
R3			

Example – Phase one



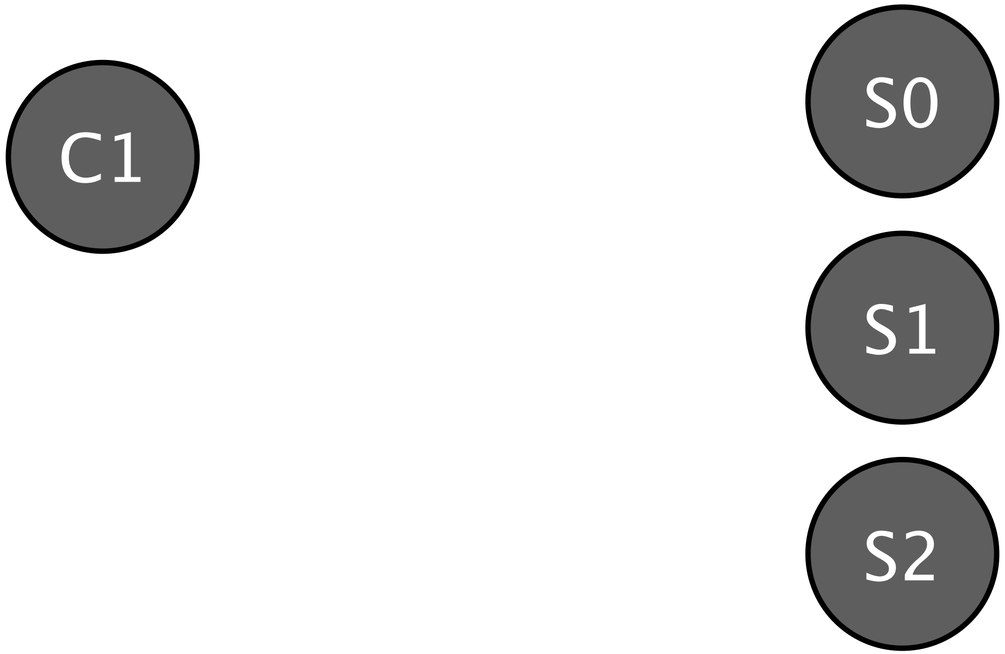
	S0	S1	S2
R0	–	–	–
R1			
R2			
R3			

Example – Phase two



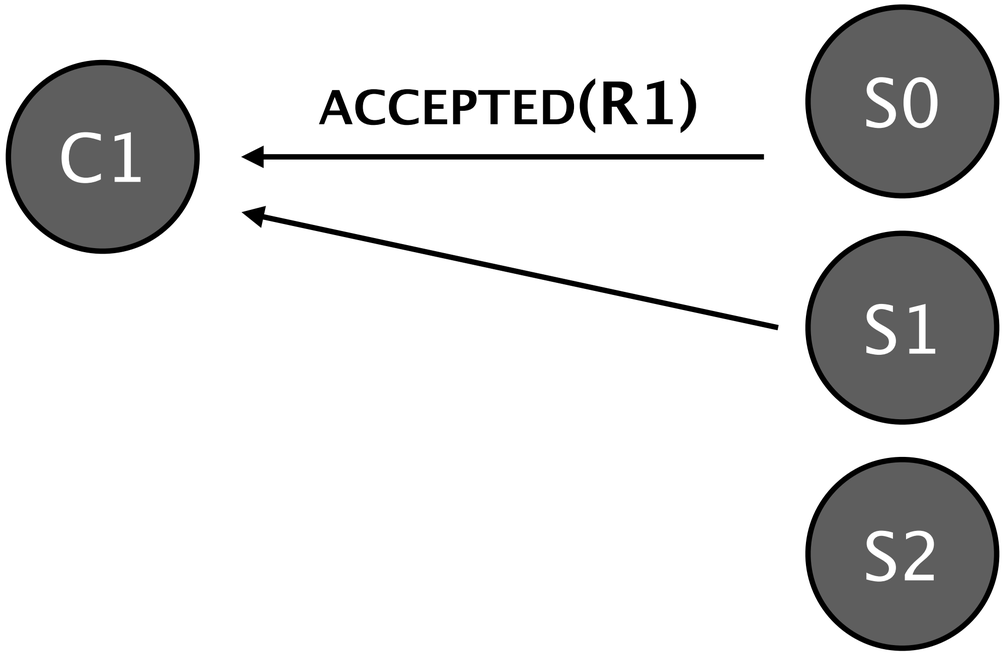
	S0	S1	S2
R0	–	–	–
R1			
R2			
R3			

Example – Phase two



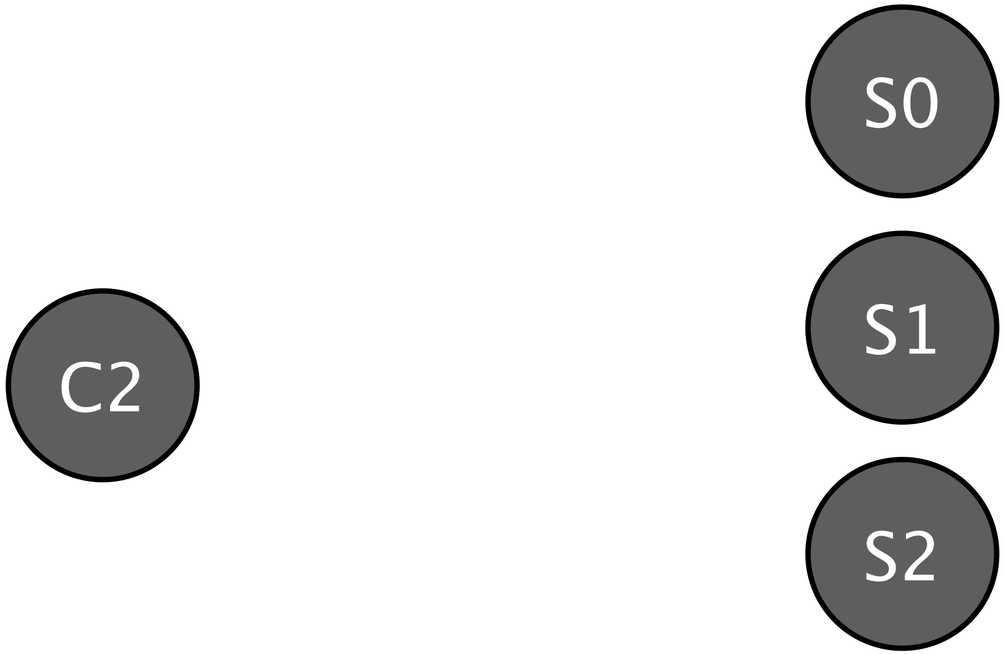
	S0	S1	S2
R0	–	–	–
R1	A	A	A
R2			
R3			

Example – Phase two



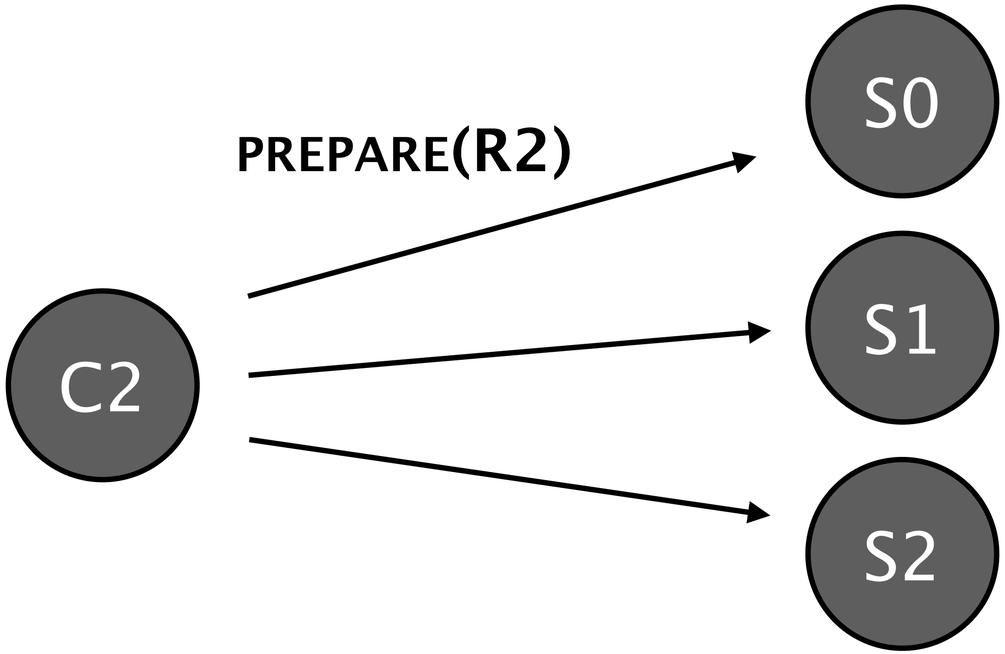
	S0	S1	S2
R0	–	–	–
R1	A	A	A
R2			
R3			

Example – Phase one



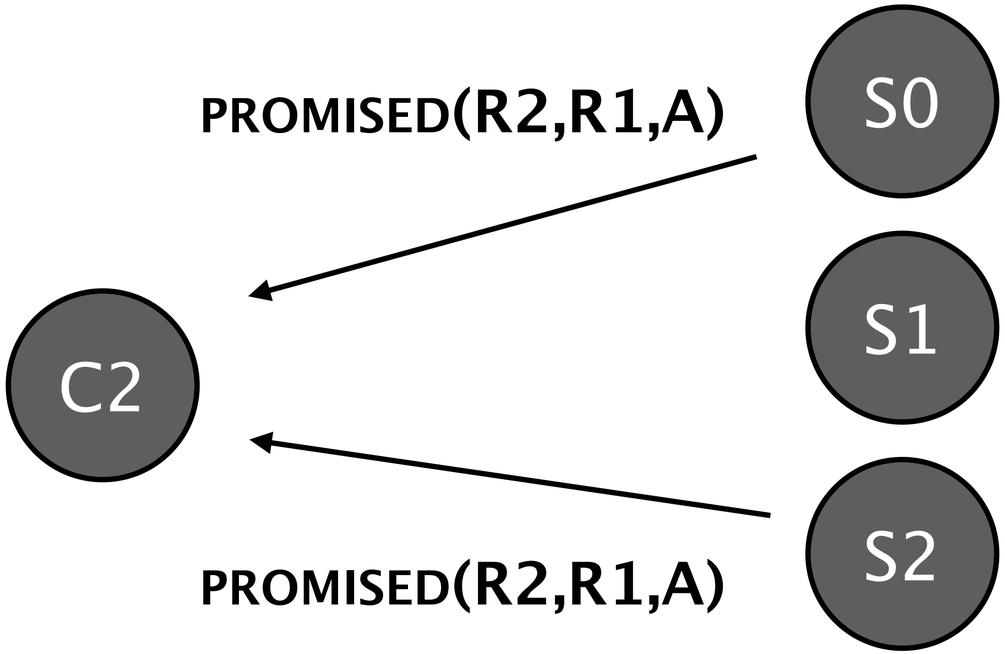
	S0	S1	S2
R0	–	–	–
R1	A	A	A
R2			
R3			

Example – Phase one



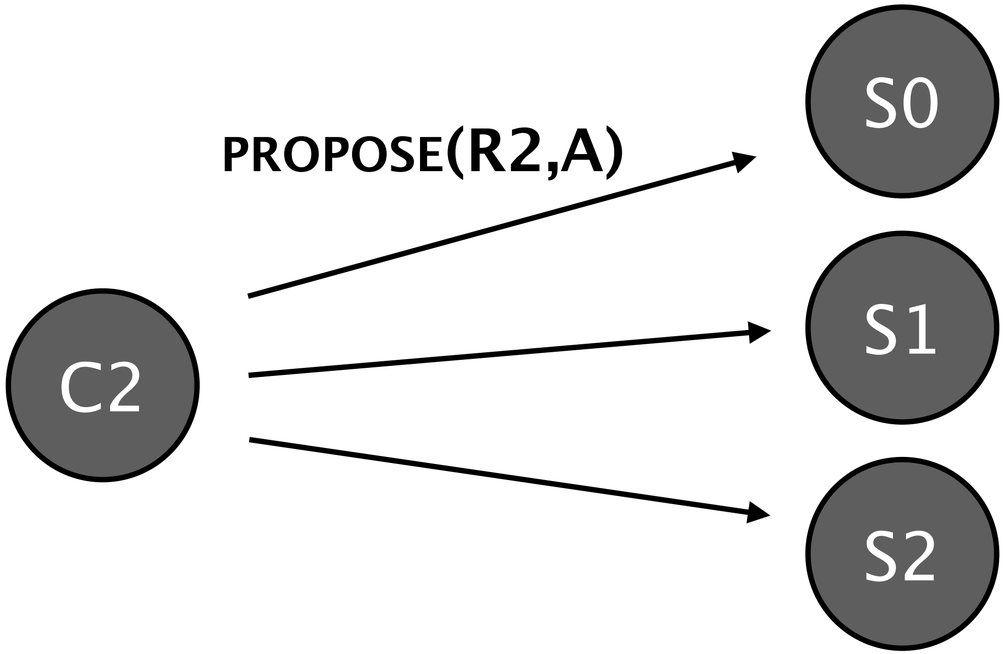
	S0	S1	S2
R0	–	–	–
R1	A	A	A
R2			
R3			

Example – Phase one



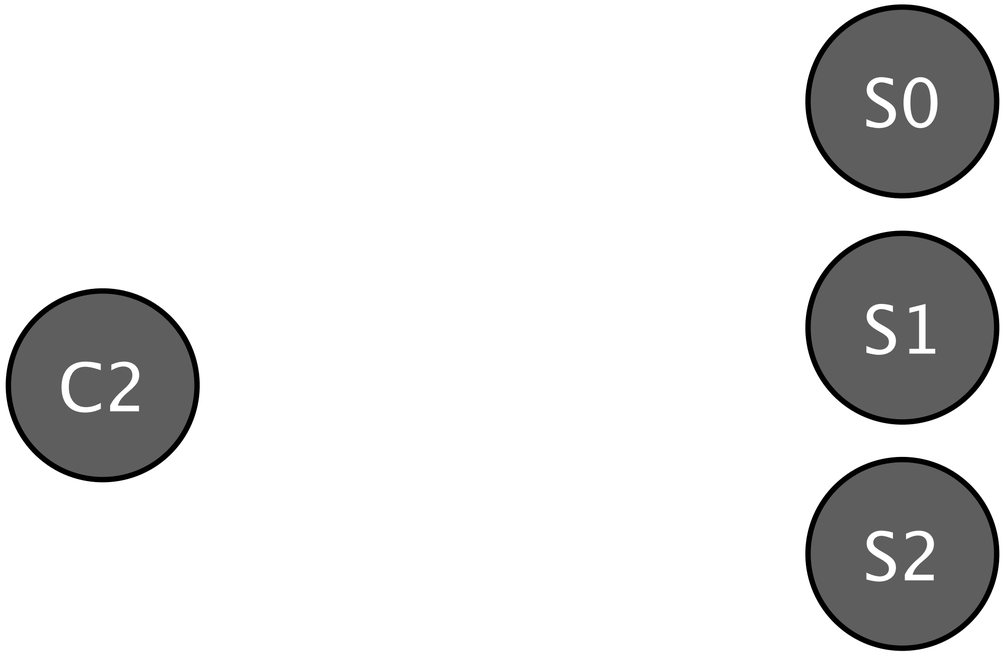
	S0	S1	S2
R0	–	–	–
R1	A	A	A
R2			
R3			

Example – Phase two



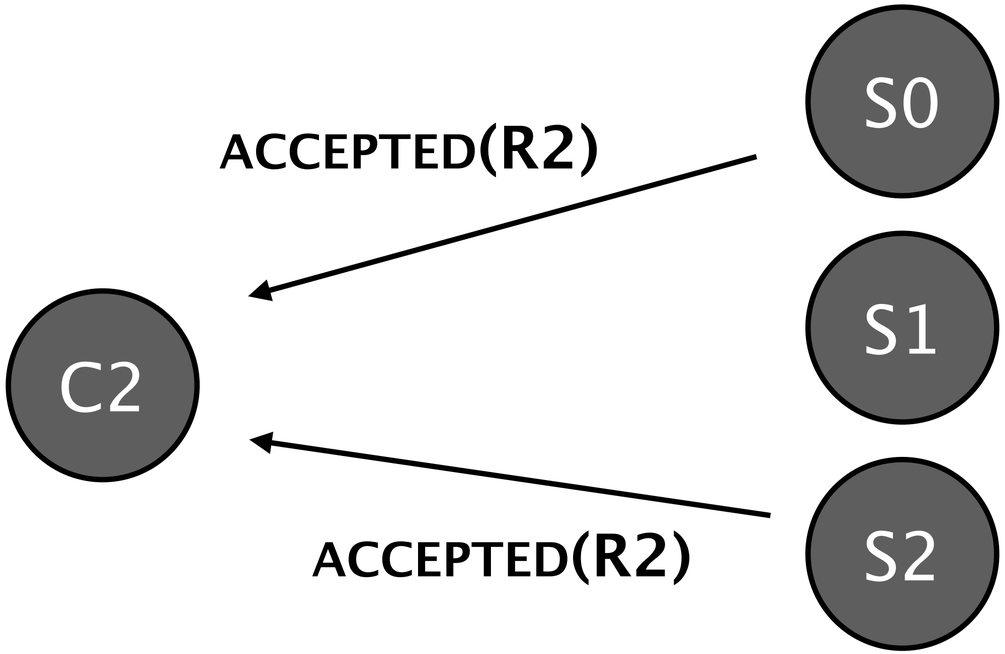
	S0	S1	S2
R0	–	–	–
R1	A	A	A
R2			
R3			

Example – Phase two



	S0	S1	S2
R0	–	–	–
R1	A	A	A
R2	A	A	A
R3			

Example – Phase two



	S0	S1	S2
R0	–	–	–
R1	A	A	A
R2	A	A	A
R3			

Quorum intersection

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Original requirement

Paxos requires that a quorum of servers participate in each of its two phases and that any two quorums must intersect.

Quorum intersection

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Paxos requires that a quorum of servers participate in each of its two phases and that any two quorums must intersect.

Revised requirement

A client using register i must get at least one server from each quorum of registers 0 to i-1 to participate in phase one.

Part 3
All aboard consensus

Current Reality

	Classic Paxos	Multi Paxos
Minimum round trips?	2	1
Which client can decide the value?	Any	Leader only

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	Classic Paxos	Multi Paxos
Minimum round trips?	2	1
Which client can decide the value?	Any	Leader only

Can we design an algorithm in which ***any client*** can achieve consensus in just ***1 round trip***?

Designing for today

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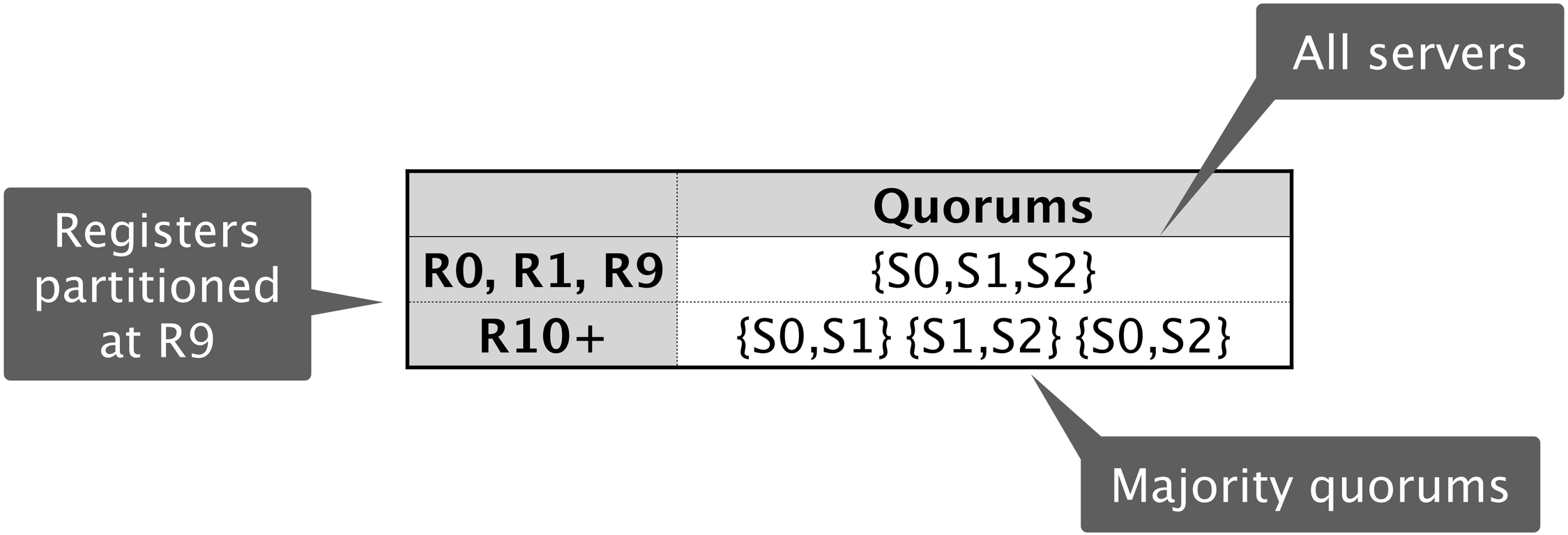
1. Failures are rare.

Designing for today

1. Failures are rare.

2. Each host is a client and server.

All aboard – Quorum table



All aboard – Algorithm

All aboard – Algorithm

Fast path [R0 – R9]

Client executes phase one locally, followed by phase two with all servers.

All aboard – Algorithm

Fast path [R0 – R9]

Client executes phase one locally, followed by phase two with all servers.

Slow path [R10 +]

Client executes classic Paxos with majority quorums for both phases.

All aboard – Summary

All aboard – Summary

Pros

- Any clients can terminate in just one round trip (provided all servers are up).

All aboard – Summary

Pros

- Any clients can terminate in just one round trip (provided all servers are up).

Cons

- The fast path has increased the quorum size from majority to all.
- More round trips are needed if a server is slow/unavailable.

Lessons learned

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Immutability and generality can change our perspective on distributed consensus.

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Paxos can relax its quorum intersection requirements. Utilising different quorums tables can produce different tradeoffs.

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Immutability and generality can change our perspective on distributed consensus.

Paxos can relax its quorum intersection requirements. Utilising different quorums tables can produce different tradeoffs.

Paxos with majorities is a single point on a broad and diverse spectrum of consensus algorithms.

This is just the beginning

Today, we focused on Paxos and its quorums. We can use these tools to do much more.

Learn more in our latest draft: A generalised solution to distributed consensus.

A Generalised Solution to Distributed Consensus

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Abstract

Distributed consensus, the ability to reach agreement in the face of failures and asynchrony, is a fundamental primitive for constructing reliable distributed systems from unreliable components. The Paxos algorithm is synonymous with distributed consensus, yet it performs poorly in practice and is famously difficult to understand. In this paper, we re-examine the foundations of distributed consensus. We derive an abstract solution to consensus, which utilises immutable state for intuitive reasoning about safety. We prove that our abstract solution generalises over Paxos as well as the Fast Paxos and Flexible Paxos algorithms. The surprising result of this analysis is a substantial weakening to the quorum requirements of these widely studied algorithms.

1 Introduction

We depend upon distributed systems, yet the computers and networks that make up these systems are asynchronous and unreliable. The longstanding problem of distributed consensus formalises how to reliably reach agreement in such systems. When solved, we become able to construct strongly consistent distributed systems from unreliable components [13, 21, 4, 17]. Lamport's Paxos algorithm [14] is widely deployed in production to solve distributed consensus [5, 6], and experience with it has led to extensive research to improve its performance and our understanding but, despite its popularity, both remain problematic.

Paxos performs poorly in practice because its use of majorities means that each decision requires a round trip to many participants, thus placing substantial load on each participant and the network connecting them. As a result, systems are typically limited in practice to just three or five participants. Furthermore, Paxos is usually implemented in the form of *Multi-Paxos*, which establishes one participant as the *master*, introducing a performance bottleneck and increasing latency as all decisions are forwarded via the master. Given these limitations, many production systems often opt to sacrifice strong consistency guarantees in favour of performance and high availability [7, 3, 18]. Whilst compromise is inevitable in practical distributed systems [10], Paxos offers just one point in the space of possible trade-offs. In response, this paper aims to improve performance by offering a generalised solution allowing engineers the flexibility to choose their own trade-offs according to the needs of their particular application and deployment environment.

Paxos is also notoriously difficult to understand, leading to much follow up work, explaining the algorithm in simpler terms [20, 15, 19, 23] and filling the gaps in the original description, necessary for constructing practical systems [6, 2]. In recent years, immutability has been increasingly widely utilised in distributed systems to tame complexity [11]. Examples such as append-only log stores [1, 8] and CRDTs [22] have inspired us to apply immutability to the problem of consensus.

1

Q & A

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